



# Research Committee LSE

**Schedule** Wednesday 26 June 2024, 10:30 AM — 1:00 PM BST  
**Venue** CBG.11.13  
**Organiser** Casimira Headley-Walker

## Agenda

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### Procedural

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10:30 AM 1. **Welcome**  
Presented by Susana Mourato

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10:32 AM 2. [Declaration of Interest](#)  
For Note - Presented by Susana Mourato

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10:34 AM 3. **Minutes**  
For Approval - Presented by Casimira Headley-Walker

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10:37 AM 4. **Matters Arising**  
For Approval - Presented by Casimira Headley-Walker

 RC2354 April Matters Arising.docx


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### Items for Discussion

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10:40 AM 5. **Research for the World Strategy Progress Update**  
Presented by Susana Mourato


 RC2355 Research for the World KPI and Workstream  
Reporting.pdf

4

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5.1. Recognising, Rewarding and Supporting Impact Workstream  
Update

Presented by Elizabeth Stokoe

 RC2356 Recognising Rewarding and Supporting Impact  
Workstream\_V10\_May 2024.docx 14


11:00 AM 6. Research for the World Strategy: spotlight on enhancing civic  
engagement

 RC2357 RftW spotlight\_enhancing civic engagement.docx 18

11:20 AM 7. Phelan US Centre Review Report


11:50 AM Coffee Break

12:05 PM 8. DRUs and Recognised Groups  
Presented by Casimira Headley-Walker

 RC2359 DRU and Recognised Group Governance  
2.0.docx 21

12:15 PM 9. Recognised Group Applications

9.1. Iranian History Initiative

 RC2360 Application for Recognition of the LSE Iranian  
History Initiative.docx 43

9.2. SSPWS

 RC2361 sspws-research-hub-proposal.pdf 44

12:25 PM 10. Open Access Policy

 RC2362 Open Access Policy.docx 48



12:45 PM 11. Any Other Business

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Items to Note

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12. Research Centre Review Pro-Formas

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12.1. Middle East Centre

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12.2. Phelan US Centre


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13. Research Centre Review Pro-Forma and Data Request Update  
Presented by Casimira Headley-Walker

 RC2366 Research Centre Review Forms 2024-25.pdf 53

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14. Research Innovation Management Summary

 RC2367 Research Innovation Management Information  
Summary June 2024.docx 60

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Action items arising from  
Research Committee  
Wednesday 24 April 2024, 10:30 AM — 1:00 PM BST

Agenda	Action	Due Date	Assigned To	Status
4.. Matters Arising	Chair to meet with Head of International Relations before and after the upcoming department related Research Centre Reviews.	5 Jul 2024	Susana Mourato	Outstanding
4.. Matters Arising	Amend the training and support workstream		Bingchun Meng, Pete Mills	Outstanding
4.. Matters Arising	<p>Revise paper to establish current practice in DRU funding and resourcing, return with adjusted recommendations.</p> <p>Meeting held to agree course of action. CHW to contact DRUs to establish current practice.</p> <p>Paper to include a list of the School's DRUs and Recognised Groups.</p> <p>Due date changed to June Research Committee Meeting.</p>	31 May 2024	Casimira Headley-Walker	Completed
4.. Matters Arising	Update Governance of Research Document.	1 Aug 2024	Casimira Headley-Walker	Outstanding
4.. Matters Arising	Reschedule the International Inequalities Institute for 2024-25	3 Jun 2024	Casimira Headley-Walker	Completed
4.. Matters Arising	<p>Announce results for the AI RISF Call at the upcoming AI Showcase</p> <p>DSI have been sent the list of awardees, and asked to communicate them before 25 June 2024.</p>	30 Apr 2024	Anouska Nithyanandan	Completed

<b>Agenda</b>	<b>Action</b>	<b>Due Date</b>	<b>Assigned To</b>	<b>Status</b>
4.. Matters Arising	Make use of the Data Science Institute to draw together staff who are working on AI at LSE, and establish clear links.  On hold during DSI reconsideration.		Ken Benoit	On hold
4.. Matters Arising	Research and Innovation to provide a summary of unsuccessful grants, detailing reasons given and commonalities in the applications.  Tableau Dashboards now available.	28 Jun 2024	Anouska Nithyanandan	Completed
4.. Matters Arising	Establish a list of AI researchers at LSE, and ensure they receive directed communications.  On hold during DSI reconsideration.	31 May 2024	Ken Benoit	On hold
4.. Matters Arising	Proactively contact UKRI Centres for Doctoral Training in artificial intelligence for collaboration.  On hold during DSI reconsideration.	31 May 2024	Ken Benoit	On hold
4.. Matters Arising	PhD Academy to provide an annual summary of applications and awards	1 Oct 2024	Peter Mills	Outstanding
4.. Matters Arising	Circulate the Oxford Economics Report.	29 Mar 2024	Casimira Headley-Walker	Completed
6.. Research for the World Strategy: spotlight on growing research income and contribution (Management Information Report)	Paper to be amended, and to be returned to the June meeting of the Research Committee to note.	28 May 2024	Grace McConnell	Completed

<b>Agenda</b>	<b>Action</b>	<b>Due Date</b>	<b>Assigned To</b>	<b>Status</b>
8.. Middle East Centre Review Report	Distribute the Middle East Centre Review Pro-forma amongst the Research Committee.		Casimira Headley-Walker	Completed
12.2.. SPICE	Contact Dr Baiser-McGrath to advise him on next steps.		Casimira Headley-Walker	Completed





<b>Meeting and date</b>	Research Committee, 26 <sup>th</sup> June 2024
<b>Title of paper</b>	Research for the World strategy progress update
<b>Decision or Information item</b>	Information
<b>Purpose of paper</b>	To provide a regular update on delivery of the research strategy
<b>Outcome requested</b>	The Committee is asked to note and provide relevant feedback, including concerns
<b>Restricted business</b>	No
<b>Author</b>	Chloe Parkin, Executive Officer (Strategy Delivery)
<b>Sponsor (if relevant)</b>	Susana Mourato, PVCR
<b>Previous consultation</b>	Content of workstream updates has been provided by workstream project leads, who have consulted with their workstream teams

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## Research for the World strategy progress update

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### Included in the update

#### Strategy update by workstream

The table, completed by workstream projects leads is the first of termly updates providing progress on KPIs and an update on the broader workstream context. These will be used in combination with individual workstream spotlights to provide an overview of research strategy development for the committee's oversight.

#### Recognising, rewarding, supporting impact workstream outline

Workstream outline revised to incorporate steer from Academic Director of Impact and Associate Director of Innovation and Impact

#### Key points to note

- Successful recruitment to key positions for leadership and delivery across the strategy, including establishment of a new monitoring and evaluation function and repositioning of impact and innovation focus.
  - Establishment of the Research Management and Infrastructure Programme which will deliver important strategic change in research management and research resource and underpin strategy delivery.
  - A range of foundational activity to support key areas of strategy delivery, establish baseline data, prioritisation and identification of targets
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## RESEARCH FOR THE WORLD

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### World class research

Objective: *To maintain and strengthen our position as the leading global specialist social science university, through supporting and promoting research excellence across all of our research interests.*

#### Workstreams

- Growing research income and contribution
  - Attracting and retaining talent
  - Enhancing research culture and collaboration
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### Real-world impact

Objective: *To contribute to the betterment of society through increasing the impact of our research.*

#### Workstreams

- Recognising, rewarding and supporting impact
  - Building new strategic partnerships
  - Enhancing Innovation and Entrepreneurship
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### Lead the social sciences

Objective: *To take a leading role in ensuring that the social sciences are appropriately recognised, valued and funded, including their vital contribution to understanding the world and how and why it changes.*

#### Workstreams

- Training and supporting future talent
  - Enhancing civic engagement: Leading for London
  - Investing in open science to promote the value of social sciences
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## Research for the World Strategy – progress reporting – [June 2024]

**LSE 2030 Strategic Priority 2: Research for the World – World Class Research (Research)** - Reporting date: Research Committee 26<sup>th</sup> June

Objective: to maintain and strengthen our position as the leading global specialist social sciences institution, through supporting and promoting research excellence across all of our research interests

KPI	Description and target	Baseline	Progress	Broader Workstream Context
<p><b>Growing research income and contribution:</b> develop a sustainable and effective funding model for LSE research, enhancing external grant-raising, fundraising, partnerships, research commercialisation and consultancy activity, supported by internal seed funding.</p> <p><b>Team: SMC sponsor:</b> Susana Mourato, Ben Plummer-Powell, Mike Ferguson <b>Divisional/Academic lead:</b> Jen Fensome, Helen Jones <b>Project leadership:</b> Grace McConnell</p>				
R1	Increase satisfaction score for researcher experience of R & I income associated policies and processes to support funding applications by [insert percentage] by August 2026	Anecdotal poor experience, no known indicators	<p>Extensive work on the definitions of research for external statutory reporting and internal recognition is ongoing between R&amp;I, PaGE, Finance and with Legal and the PhD academy.</p> <p>A full refresh of internal seed funding schemes as the key Internal Funding Mechanism to develop high-quality bids, outputs, impacts and partnerships is complete. Governance and operational planning to take place over spring term and summer 2024 with new schemes available from 2024/25. Satisfaction and uptake of schemes to be monitored by Panels and reported to RC.</p>	<p>As a priority the following policies and/or processes have been recommended for review including:</p> <ul style="list-style-type: none"> <li>• Costing &amp; Pricing</li> <li>• External Funding Acceptance Policy (definitions only)</li> <li>• Research Grants Policy</li> <li>• Major Academic Initiatives</li> <li>• Internal Funding Mechanisms</li> </ul> <p>Avenues for satisfaction with these and other policies via R&amp;I service surveys, staff survey and training needs analysis are being explored to reduce duplication of surveys across multiple workstreams.</p>
R2	Generate an [insert percentage] increase in external funding success linked to prior internal funding support by September 2025 initially, then profiled to 2030	Limited evidence and systematised collection.	<p>Limited data is available from previous rounds. Field for external funding added to the main research scheme in 2023/24.</p> <p>Analysis has been undertaken on previous internal projects. In the 2024 awarded projects 52% of PIs intended to apply for external funding. In previous rounds, external funding applications were not often pursued as a result of the awards. As at March 2024 report only 33% of awardees from 2021 - 2023 had applied for external funding related to then topic of their award. In interviews 5 out of 6 PIs were pursuing or had been granted funding to continue their work.</p>	See R1 Progress. Priority for this KPI is to operationalise, monitor and evaluate these schemes in 2024/25 onwards.

R3	Increase research income by [insert percentage] and contribution rate of research income by [insert percentage] by 2030 (trajectory of growth will be monitored annually)	Awaiting agreed reporting.	The first RC Management Information report on income has been completed and is due to be signed off June 2024.	Forecasting for Research to be reviewed and developed. Once the MI report is signed off by RC opportunities for growth and diversification can be mapped line-by-line against fund sources. Evidence from the MESP priority project on HESA-data will inform LSE of other successful initiatives across the sector.
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**Attracting and retaining talent:** attract, support, develop and retain a diverse community of talented academics, enabling them to deliver world-class research and impact.

**Team: SMC sponsor:** Charles Stafford **Divisional/Academic lead:** Jen Fensome **Project leadership:** Grace McConnell

R4	Improve the volume and diversity of researchers applying for internal and external funding by [insert percentage] by 2030 (trajectory of growth will be monitored annually)	Baseline tbc once research culture manager starts June 2024	Research culture manager recruited. Start date June 2024	EDI analysis of current internal research scheme to be undertaken for AT Panel 2024. See R2 for schemes changes. Meaningful data unlikely to be available until 2025/26 until schemes have run for >12 months.
R5	<i>Cross-link to Research Culture KPI</i> Increase engagement rates for career enhancement/development activities by [insert percentage] by 2030 (trajectory of improvement will be monitored annually and per initiative)	See above	Academic staff experience project underway. Mid-career coaching initiative granted funding. Pilot underway with external provider (after consulting with OL team) for Summer 2024 through AT 2024/25.	Data to be reviewed to identify baseline More broadly there will be events run by the Executive Office which offer the opportunity for different groups to engage with SMC and each other. Mid-career coaching report to be available WT 2024/25.
R6	Improve ECR career progression across all tracks (up to 10 years following PhD award) by [insert percentage] as measured by [insert measure] by 2030 (trajectory of improvement will be monitored annually)	See above	Agreement with OL to include targeted questions on R&I experience.	Data to be reviewed to identify baseline. There is a specific HR work package with leadership from VP for Faculty Development, addressing terms and conditions for a range of junior roles – fellows, guest teachers, post docs including to reduce as far as possible precarious contracts for these groups. The School has put in a place a £100m financial reward package, an investment over 7 years, which will benefit all Faculty. In addition, work will begin on developing a network and forum for all R&I professionals across the School.

**Enhancing research culture and collaboration:** develop an inspiring, intellectually challenging, supportive and inclusive research environment, at every career stage, that recognises diversity and interdisciplinarity as key to research excellence. Facilitate opportunities for internal and external research engagement and collaboration, supporting interdisciplinarity, and use our established and developing stakeholder networks and partnerships to deliver meaningful research, impact and influence.

**Team: SMC sponsor:** Susana Mourato **Divisional/Academic lead:** Jen Fensome **Project leadership:** Grace McConnell, Louise Jones, Jo Hemmings

R7	Develop and implement a comprehensive framework of LSE research culture-related policies and best practice guidance to address R & I compliance requirements by September 2025	Lack of knowledge of LSE existing policies guidance and tools; lack of awareness of	Appointed Due Diligence Manager to start June 2024; selected Compliance Manager to start AT 2024/25. R&I Graduate Intern is mapping policies and procedures related to research	Priorities have been identified as follows for the Spring Term and Summer 2024: <ul style="list-style-type: none"> <li>Undertake self-assessment using the Trusted Research Evaluation Framework for international research risk;</li> </ul>
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	Research Committee	external compliance requirements.	activities ready for gaps analysis and culture assessment.	Map both external compliance and LSE Research Culture related policies, guidance and tools including gaps and improvements needed across LSE.
R8	Increase researcher satisfaction rates with quality of R & I focused support, service and guidance that aims to improve experience of research culture (as developed in R7) by [insert percentage] by 2030 (trajectory of improvement will be monitored regularly via the Staff Survey)	Satisfaction with these areas anecdotally is low, without clear evidence on areas of improvement.	Appointed Senior R&I Culture Manager to start June 2024. Data sources to evidence the KPI have been selected and work requesting data access for analysis has begun.	Priorities have been identified as follows for the Spring Term and Summer 2024: <ul style="list-style-type: none"> <li>Define “Research Culture” for LSE</li> <li>Interrogate data from the following initiatives to understand current satisfaction and needs across LSE: <ul style="list-style-type: none"> <li>Research Centre Reviews</li> <li>Staff Survey</li> <li>Academic Experience Survey</li> <li>Training Needs Analysis (with attracting talent)</li> <li>ECR Network Changemakers projects</li> </ul> </li> </ul> Summer of Culture Projects
R9	Increase researcher satisfaction levels with cross-LSE R&I events and activities designed to enhance research culture and collaboration by [insert percentage] by 2030 (trajectory of growth will be monitored annually and by initiative)	An array of showcases and event are provided by LSE Communications, with gaps in Funder engagement and collaborative networking.	Appointed Senior R&I Engagement Manager to start July to support funder visits	Priorities have been identified as follows for the Spring Term and Summer 2024: <ul style="list-style-type: none"> <li>Develop a programme of funder and client visits for 2024/25;</li> <li>Review current events provision;</li> </ul> Seek strategic steer on thematic events to develop LSE research communities e.g. AI, Sustainability etc.

**LSE 2030 Strategic Priority 2: Research for the world – Real World Impact (Impact) – Reporting date:** Research Committee 26<sup>th</sup> June

Objective: To contribute to the betterment of society through increasing the impact of our research and innovation.

KPI	Description and target	Baseline	Progress	Broader Workstream Context
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**Recognising, rewarding and supporting impact:** develop and improve how we value the full range of impact activity and the professional support available to our researchers to enable them to mobilise their research effectively for public good and to communicate success.

**Team:** SMC sponsor: Susana Mourato **Divisional/Academic lead:** Jen Fensome and Liz Stokoe **Project leadership:** Louise Jones, Rachel Middlemass

I1	Appoint an Academic Director of Impact by January 2024	There was no role	Complete – role recruited to	Workstream is now fully developed. The next step is to agree priorities of tasks. Some are already underway such as consideration of the new Innovation & impact R&I team service and structure, and the review of the internal seed-corn funding to better support impact.
I2	Appoint an Associate Director of Impact and Innovation by January 2024	The role is a revised version of an existing role	Complete – role recruited to	See above
I3	Include research impact in annual CDR process and in contribution pay criteria in 2023/34 cycle	Currently not an explicit part of the discussion,	Is part of the newly developed workstream	See above

Research Committee	although can be included if desired		5. Research for the World Strategy Progress Update
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**Building new strategic partnerships:** cultivate new relationships and develop strategic partnerships with policymakers, alumni networks, civil society, the third sector and industry to increase the reach and relevance of our research.

**Team: SMC sponsor:** Susana Mourato, Ben Plummer-Powell **Divisional/Academic lead:** Jen Fensome, Brendan Smith **Project leadership:** Sarah Anderson

14	<b>To carry out a benchmarking exercise</b> , reviewing other Universities’ business engagement practices including business engagement strategies, income targets, non-income targets, impact monitoring methods, business and stakeholder engagement forums (eg. advisory boards), reporting (internal and external), and communications. Findings and conclusions to be presented along with clear recommendations for future steps for LSE, by end July 2024 (resources dependent).	Zero – no current report exists	Graduate intern, Jenna Whitehouse, currently working on report which comprises data and insight from desk top research and series of interviews with peer institutions and More consultancy. Report is due for completion in June with initial findings/draft being presented to CE team 29 May.	Alignment with Corporate Engagement strategic plan.
15	<b>To carry out a partnership mapping exercise</b> , drawing upon existing LSE data (R&I grant schedule, Salesforce, CRM scoping, CEN information, PAGE pipelines etc.) to set a baseline from which we can build a strategic partnership strategy, with target sectors and industries to support LSE’s priority areas. A synopsis and strategic plan for developing high level, high impact partnerships (both income-generating and non-income generating), by end July 2024 (resources dependent)	Patchy data across school –	Lack of resource held up instigation of this, however CE Manager Ben Peng has now taken on this project and will work across School between now and mid-July. Following the presentation of his initial findings in July, we will review progress and consider whether we need to engage a consultant or other resource to complete the project.	Deadline is ambitious – may slip due to current lack of resource to deliver – to be discussed.  May update: above is still relevant but having secured resource to work on this over the next 2 months, we are in a better position on delivery. Ben also brings knowledge of CE and relationships across the School, through the CEN, which will enable swifter delivery of the mapping.

**Strengthening innovation and the entrepreneurial ecosystem:** enhance the capacity for entrepreneurship, consulting and commercialisation of LSE research through developing a thriving entrepreneurial ecosystem, promoting its benefits and impact to the research community. Harnessing the innovation potential that a research-rich education fosters in our students and alumni.

**Team: SMC sponsor:** Susana Mourato **Divisional/Academic lead:** Jen Fensome **Project leadership:** Rachel Middlemass

16	Increase innovation income by [insert percentage] and contribution rate of innovation income by (insert percentage) by 2030 (trajectory of growth will be monitored annually)	Total LSE innovation income and contribution rate unknown Opportunities for growth have not been specifically identified and targeted	<ul style="list-style-type: none"> <li>Established a new tableau report, agreed by Research Committee.</li> <li>Review of LSE Consulting underway.</li> <li>Development of income-generating courses through Generate underway.</li> <li>Generate and I&amp;I have both won grants.</li> </ul>	<p>Near term priorities:</p> <ul style="list-style-type: none"> <li>Review opportunities for growth e.g. KTPs.</li> <li>Complete Consulting Review and address recommendations.</li> <li>Release Generate courses for sign up next academic year, determine demand.</li> <li>R&amp;I teams to continue to pursue opportunities for grant and tender funding.</li> </ul>
17	Increase satisfaction score for internal innovation support by [insert percentage] by 2030 (trajectory	Current understanding of	<ul style="list-style-type: none"> <li>Recent recruitment of new Associate Director of Innovation &amp; Impact.</li> </ul>	<ul style="list-style-type: none"> <li>Near term priorities:</li> </ul>

	Research Improvement will be monitored annually and by initiative).	fit for purpose support inferred only by anecdotal satisfaction levels.	<ul style="list-style-type: none"> <li>Revised structure for a new joint team for I&amp;I established.</li> <li>Agreement with OL to include targeted questions on R&amp;I experience.</li> </ul>	<ul style="list-style-type: none"> <li>Development of a new service and structural plan for the I&amp;I team</li> <li>Review and confirmation of the focus for the Springboard programme.</li> <li>Cross-fertilisation of support between Generate and I&amp;I is identified and developed for staff.</li> <li>Review staff survey, OL survey and Academic Experience Survey to understand current satisfaction levels.</li> <li>Implement a feedback mechanism for support provided.</li> </ul>
18	Increase level of engagement by researchers in innovation activities by [insert percentage] by 2030 (trajectory of engagement will be monitored annually and by initiative	Current demand measured on a piecemeal basis only.	<ul style="list-style-type: none"> <li>New programme established for the Innovation Breakfast meetings and the online Innovation Watercooler platform.</li> <li>Work on Glengall Road and Vauxhall Gardens incubators continues.</li> <li>New membership model with strategic plan for ASPECT has now been established.</li> </ul>	<p>Near term priorities:</p> <ul style="list-style-type: none"> <li>Comms on R&amp;I Service offering planned for beginning of next academic year.</li> <li>A new suite of activities and initiatives released in next academic year, in line with service changes.</li> <li>Incubator plans continue.</li> <li>ROI for LSE and member organisation within ASPECT continues.</li> <li>Generate an understanding of current engagement levels and develop a method to collate demand for next academic year for continual monitoring.</li> </ul>

**LSE 2030 Strategic Priority 2: Research for the world – Lead the Social Sciences (influence) – Reporting date: Research Committee 26<sup>th</sup> June**

**Objective: to take a leading role in ensuring that the social sciences are appropriately recognised, valued and funded, including their vital contribution to understanding the world and how and why it changes. (Training and supporting future talent, enhancing civic engagement, investing in open science)**

KPI	Description and target	Baseline	Progress	Broader Workstream Context
<b>Training and supporting future talent:</b> develop and train future social scientists, including PhD students and early career researchers, building the talent pool for the social sciences.				
<b>Team: SMC sponsor: Susana Mourato Divisional/Academic lead: Bingchun Meng Project leadership: Pete Mills</b>				
IM1	Increase externally funded studentships by [insert percentage] by [insert date]	# of externally funded studentships offered for AY 23/24 entry	Loss of LSE ESRC DTP studentships under renewed doctoral training grant – decrease of x8 studentships p.a. from October 2024 entry onwards. Alzheimer’s society Doctoral Training Centre – increase of x1 studentship p.a. Further details tbc. China Scholarships Council agreement – increase of x8 studentships p.a., for x4 years from October 2024 entry onwards. (PAGE also working to replicate CSC model with other national governments.) PhD Academy currently supporting x2 potential bids for AHRC Centres for Doctoral Training – x1 highly likely to submit.	Historical under-invested in management and leadership capacity for UKRI-funded doctoral training entities. This means that the PhD Academy and FSO staff face an uphill challenge in meeting enhanced ESRC expectations under the current grant, which significantly reduces capacity to support bids for new grants.
IM2	Achieve higher satisfaction rates by students via survey [insert percentage increase] and reduced number of complaints [insert rate] by [insert date] to be monitored annually	Historical LSE PRES and LSE ESRC DTP student survey results. Complaint numbers.	Determined that complaint and appeal #a, of themselves, have limited value as measures for student experience/satisfaction. (Where received, complaints and appeals are also, themselves, vital forms of feedback.) With Academy support, TQARO has bid for resources to support development and implementation of internal PGR survey from EGI funds. PhD Academy is currently experienced significant challenges in engaging with PGR	LSE has previously only participated in PRES in 2019 and 2021, but not since. In these rounds, participation rates were low, and accountability mechanisms were either weak or non-existent. LSE has no history of conducting internal, LSE-only PGR-level surveys, and LSE SU support for other student

	Research Committee		students through Research Degree Students' Consultative Forum due to poor secretariat support from LSESU.	voice mechanisms such as the RGS student consultative forums is currently weak to non-existent.
IM3	Increase 'research in practice' opportunities by [insert percentage] by [insert date]	LSE SPRING total # of placements offered during 22/23.	Significantly increased number of LSE SPRING micro-internships advertised and undertaken up from 22 offered and 10 completed in 22/23 to 47 offered and 17 completed this far in 23/24, with a further 5 applications under consideration. Recruitment of LSE ESRC DTP and IAA funded Research in Practice manager to ensure delivery of mandatory placements for LSE ESRC DTP studentship recipients admitted from October 2024 onwards.	LSE SPRING has historically lacked strategic alignment, but stronger vision has resulted in significant gains in the past year. Under the new DTP grant (October 2024 entrants onwards) all DTPs will be required to ensure that all studentship recipients are enabled to undertake up to three months of placement activities during their ESRC funded period.
<b>Enhancing civic engagement:</b> grow engagement at community level, particularly in London.				
<b>Team:</b> SMC sponsor: Susana Mourato <b>Divisional/Academic lead:</b> Tony Travers <b>Project leadership:</b> Greg Taylor				
IM4	<b>Championing and coordinating London-based engagement and London-focused research:</b> Coordinate one meeting of Civic Engagement Forum per term and increase London-related LSE external communications to one per week (in term time?)/2 per month?	No coordinated comms	This KPI was revised following SMC review. We have instead prioritised drafting and consulting internally on an LSE-side definition of "civic engagement", which is ready for SMC approval over summer, and once approved will sit on the revitalised "LSE in London" webpage and underpin our ongoing content updates and wider strategic interventions. External communications to London stakeholders have been increased, with targeted comms flagging LSE Events programmes, the Festival, and other opportunities for engagement with LSE research.	Previous civic communications have been disparate, and this process is designed to bring it under one umbrella and strategically plan comms interventions. The soon-to-be-launched "Civic Engagement Teams Channel" will involve the Library, PAGE, Comms, Eden, Generate, Volunteering and others.
IM5	<b>Developing capacity:</b> Recruit Policy Fellow by March 2024 and organise 2 London-specific policy debates, events or policy-interventions per term subsequently.	One per term	The new London Policy Fellow – managed by Prof Tony Travers – started in May 2024 and has been working to analyse LSE research and opportunities for engagement. She has undertaken a series of meetings with LSE academics and relevant PS staff, and is developing a work programme and KPIs accordingly.	This new role, under Tony Travers, is designed to increase LSE's interventions in, and impact on, London policy and challenges. Work will be informed in part by the series of "The Future of London..." roundtables organised by the Public Affairs team over the last few months, involving senior stakeholders from across London's governance.
IM6	<b>Strategic collaboration:</b> Complete "Top 100" influential London policy targets list by April and ensure they receive at least 2 targeted emails a term.	Ad hoc comms	This list now exists – covering key contacts in Parliament, the Greater London Authority, London's councils, campaign groups, community groups and others. It will be updated and revised on an ongoing basis, including after the general election. Individuals have received targeted communications, including invites to roundtables, LSE PLP events, and the election night party.	Proactive LSE engagement with London stakeholders will continue to increase at the London Policy Fellow delivers outputs and we build comms strategies around her work.



**Investing in open science:** increase the visibility, accessibility and reach of LSE research to convene debate and advance understanding around key current and developing issues in the social sciences. Champion the uptake of open research practices in the social sciences.

**Team:** SMC **sponsor:** Susana Mourato **Divisional/Academic lead:** Niamh Tumelty, Fiona Metcalfe **Project leadership:** Beth Clark, Louise Jones

IM7	<b>Developing Open Science Culture within LSE:</b> Increase researcher participation in open research training and events by 10% each year	Patchy data suggests 52 participants in 2022-23	Training for academic year 2023-24 is still ongoing, including a new joint session between LSE Communications and the Library on Open Access book publishing. Data for 2023-24 will be provided in Autumn 2024.	Includes internal training, relevant CIVICA training, and more informal discussion events and the development of an open research community at LSE.
IM8	<b>Developing External Open Science Partnerships:</b> Successfully apply for membership of the UK Reproducibility Network (UKRN) and put necessary arrangements in place by end of 2024.	Agreement in principle that we should join	The proposed Open Research Working Group (a precursor to joining UKRN) is meeting for the first time at the end of June.	The UK Reproducibility Network (UKRN) is a national peer-led consortium that aims to ensure the UK retains its place as a centre for world-leading research.
IM9	<b>Engagement and Reach:</b> Increase interaction with LSE events, publications and other public engagement initiatives by 5% each year.	Composite measure for 2023: 12.37million  (LSE Blogs, 4.8million views Online magazine, 380k views Public events, 70k attendees LSE Festival, 8.4k attendees Event podcasts, 5.8million downloads Media hits in priority outlets, 2.3k Research films, 1,203,584 Research webpages, LSE Press book and journal downloads, 91,131 Newsletter subscriptions, 10k)	Numbers are on track to grow across all channels and outputs, bolstered by improvements to the LSE Blogs platform, more strategic marketing of public events and this year's extremely popular 'Global Politics' campaign. We have also recently launched a new LinkedIn newsletter which already has over 100,000 subscribers. One possible challenge is the ongoing student encampment and the impact on the upcoming LSE Festival, but we have contingency plans in place to mitigate the impact.	A composite measure to include: LSE Public Events attendance (including LSE Press events and LSE Festival), Research for the World magazine engagement, LSE blogs engagement (including LSE Press), film and audio content reach, newsletter subscriptions (across Comms and LSE Press), media coverage in priority outlets, LSE Press book and journal downloads, research web content views, social media following of research content, e.g. LinkedIn newsletter.

**LSE 2030 Strategic Priority 2: Research for the world – Create the conditions for success (Underpinning) – Reporting date:** 5. Research for the World Strategy Progress Update

Objective: to improve research facilities, technical support, data resources and strategic planning functions to enable world-class research with impact, enhance the capacity of faculty and researchers and to anticipate and respond effectively to opportunities and challenges.

KPI	Description and target	Baseline	Progress	Broader Workstream Context
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**Monitoring, evaluation and strategic planning:** To ensure that the School can identify its priorities – short and long term – and support the right initiatives to generate delivery against our R&I strategic objectives, anticipating and responding effectively to opportunities and challenges.

**Team: SMC sponsor: Susana Mourato Divisional/Academic lead: Jen Fensome Project leadership: Grace McConnell**

U1	Increase number of major R & I decisions informed evidence by [insert percentage] by September 2025 initially, then profiled to 2030 thereafter	YTD: 11 decisions Long timelines for decisions	Six projects have been selected to demonstrate the power of evidence-based decision making at LSE. The following decisions have been informed: <ul style="list-style-type: none"> <li>• Internal Funding Schemes</li> <li>• Block Grant Allocation and Spend</li> <li>• League Tables Analysis and Article</li> <li>• AI Research at LSE Briefing and Council Report</li> <li>• Health and Wellbeing Research Information</li> <li>• Sports Project</li> </ul>	The impact of decisions is still being realised. The evidence team has plans to tackle the following priorities this year: <ul style="list-style-type: none"> <li>• UK Research Income Analysis for Business Development</li> <li>• LSE planning for Labour Party R&amp;I Priorities</li> </ul> Analytical deep dive on LSE strategic partnerships
U2	Grow number and type of requests received and processed by the evaluation and monitoring function according to available capacity by (insert percentage) by (insert date)	YTD >6 requests primarily from senior management	The above decisions cut across Growing Research Income, Research culture, and Impact workstreams. Requests have primarily originated from the PVCr and Director of R&I (4), as well as PaGE (1), and Academics (1).	It is expected that in the initial months of the team's creation they would respond primarily to senior management priorities and awaited information for briefings. The team will seek to communicate their offer to the broader LSE community in 2024/25, focussing primarily on the needs of the RftW strategy workstreams.

**Research Management and Infrastructure Programme**

The Programme will deliver important strategic change in research management and research resource, aiming to build a dynamic and interconnected research infrastructure that:

- Improves the accuracy, access, integration and reliability of information for all research activity across the entire R&I lifecycle to enable effective operational management and to inform and support strategic decision making.
- Increases the discoverability and engagement with LSE research, enables digital research and leads on open social science practices for improved reputational, collaborative and visibility purposes.
- Strengthens resources, systems and services to support high quality and timely research activity.

**Team: Programme Board co-chairs: Jen Fensome and Niamh Tumelty Programme Manager: Gigi Tennant**

**Programme monitored through Portfolio Board processes.** Since the approval of the Programme Mandate in August 2023 the Programme has progressed in the following ways:

- Contracted a Business Analyst to undertake initial discovery research into the current challenges and pain points for professional services staff and researchers across the R&I lifecycle.
- Recruited and onboarded a Programme Manager from January 2024.
- Further developed the Programme scope, delivery approach and project boundaries with est. delivery timelines and indicative Programme costs (~£4.05m).
- Programme Brief approved by Portfolio Board in March 2024, including £1.8m TBIF funds to commence Programme activities.
- Commenced external recruitment of the Programme team to initiate priority project activities. A Project Manager is in post to support the launch and delivery of the R&I Funding Management Project.
- Outlined and agreed milestones and approval plans for the Programme and subsequent projects by the BIU Portfolio Board support team.

## Research for the World Strategic Plan

### Workstream: Recognising, rewarding, supporting impact

Version 10: Revised in May 2024 to incorporate steer from Academic Director of Impact and Associate Director of Innovation and Impact

<b>Short Title:</b>	<b>Recognising, rewarding, supporting impact</b>		
<b>SMC Sponsor:</b>	<b>Susana Mourato</b>		
<b>Section:</b>	Impact		
<b>Timeframe:</b>	2023/24 planning 24/25 intensive activity		
<b>Divisional and Academic Lead:</b>	Liz Stokoe, Academic Director of Impact, Jen Fensome, Director of Research and Innovation	<b>Reporting Officer</b>	Rachel Middlemass (incoming), Associate Director of Innovation and Impact (R&I), Louise Jones, Head of Research Communications and Engagement (Comms)
<b>Committee</b>	KEISC, REF Strategy Committee		
<b>Key links</b>	Library services work on open science and citizen science, Promotions Committee, Bob Ward, Director of Policy and Communications, Grantham Institute, PAGE, Developing Strategic Partnerships workstream		
<b>Outcome:</b>	To establish LSE as world leaders for SHAPE KEI across all areas, by developing and strengthening the type, quality, volume, and influence of impact-underpinning research, analysis, and evaluation across LSE and ensuring that opportunities to make a difference beyond academia are encouraged, identified, supported, promoted, monitored, and evaluated.		

<b>Work areas</b>	<b>Tasks and Activities</b>	<b>Outcomes/KPIs</b>
<b>Recognising and Rewarding Impact</b>		
<p><b>People</b></p> <ul style="list-style-type: none"> <li>Facilitate the valuing of world-leading impact by its inclusion in LSE processes for recruitment, career development, contribution pay, and promotion criteria, and embed in workload modelling, to fairly account for the importance of high-quality KEI (or “&amp; impact”) activity (<i>links to Attracting and Retaining Talent Workstream</i>)</li> <li>Ensure that all job types, including policy track and professional services colleagues, are supported through equivalent processes</li> </ul>	<ul style="list-style-type: none"> <li>Encourage all relevant departments, centres, institutes, and divisions to include KEI in recruitment processes</li> <li>Encourage all relevant departments, centres, institutes, and divisions to include workload allocation for KEI</li> <li>Include KEI in annual career development and promotion processes and in contribution pay criteria from 2024/25 cycle forwards</li> <li>Define what credit, recognition, development, and reward looks like for policy and PSS colleagues</li> <li>Establish a series of internal LSE-wide prizes on an annual basis, for all career stages, individuals and teams, and for academic, policy, and PSS colleagues</li> </ul>	<ul style="list-style-type: none"> <li>Adverts for academic positions which include KEI in their criteria have increased</li> <li>The number of promotions where KEI was a significant part of applications has increased (e.g., professors of practice)</li> <li>Credit for colleagues is folded into recruitment, career development, contribution pay, promotion criteria, and workload for all staff</li> <li>Applications/nominations for prizes have increased</li> </ul>

<p><b>Evidence</b></p> <ul style="list-style-type: none"> <li>Support the advancing of recognition of KEI activities through enhanced electronic abilities to identify, capture, record, report, and monitor impact work for all KEI domains, across departments, centres, and institutes (links to <i>Research Management and Infrastructure Workstream</i>)</li> <li>Ensure that all departments, centres, institutes, and divisions can share and access reporting</li> </ul>	<ul style="list-style-type: none"> <li>Develop an agreed set of system requirements for the Research Management and Infrastructure Programme to support impact.</li> <li>Build a dashboard to enable relevant parties to access and produce KEI reports</li> <li>Identify proximate measures for activity that underpins the stepping-stones to impact (cf. HEBCI)</li> </ul>	<ul style="list-style-type: none"> <li>A KEI system is in place and fit for purpose</li> </ul>
<p><b>Supporting and Strengthening Impact</b></p>		
<p><b>Impact culture, environment, and knowledge</b></p> <ul style="list-style-type: none"> <li>Improve research KEI culture and perceptions through improved understanding of what impact is / can be, in all its manifestations and domains, through reviewed messaging, materials, and policies, to disaggregate and taskify impact journeys and pathways, and highlight a more inclusive and inspiring view of impact at LSE (links to <i>Enhancing Research Culture Workstream</i>)</li> </ul>	<ul style="list-style-type: none"> <li>Develop means through which ethical and responsible impact is supported and monitored to avoid ‘grimpect’</li> <li>Conduct EDI assessments for KEI activity to identify who is doing and not doing, in what domains to address potential inequalities.</li> <li>Increase use of LSE Research Online for all output types, not just journal papers, to increase the visibility of and be inclusive about research which is essential to partnership development</li> <li>Establish a series of monthly “&amp; impact” events across LSE which include content and networking across all areas and groups</li> <li>Establish a series of “fireside chat” events between industry/partners and LSE academics at different career stages, for live audiences and also filmed to create role-model and assets for the impact hub (below)</li> </ul>	<ul style="list-style-type: none"> <li>The number of researchers applying for internal KEI funding by 2030 (trajectory of growth monitored annually), with partners / used strategically has increased</li> <li>The visibility of research in non-academic domains (e.g., via number, diversity, and reach of policy and altmetric citedness of LSE research) has increased</li> </ul>
<ul style="list-style-type: none"> <li><b>Partnership development:</b> Encourage and facilitate meaningful links between academics (at all career stages, including doctoral researchers) and non-academic partners to encourage impactful world-leading research (<i>Links with Building Strategic Partnerships Workstream</i>).</li> </ul>	<ul style="list-style-type: none"> <li>Recruit a partnerships development manager</li> <li>Successfully broker academic-partner relationships and links</li> <li>Improve partner networks and oversight of engagement</li> </ul>	<ul style="list-style-type: none"> <li>The number of co-authored papers with non-academic partners has increased</li> <li>The number, type and scale of collaborative and/or contracted activities with non-academic partners has increased</li> </ul>
<p><b>Professional Services Staff</b></p> <ul style="list-style-type: none"> <li>Review current professional support (across service divisions and academic centres) provided for production, tracking and evaluation of knowledge exchange, partnership development, communication for engagement, impact, and case studies. Develop</li> </ul>	<ul style="list-style-type: none"> <li>Ensure that PS colleagues’ often hidden work (cf. Hidden REF) is recognized and valued as an integral part of teams and collaborations</li> <li>Improve coordination and communication across PS (e.g., upstream innovation, partnership, and research development; downstream communications)</li> </ul>	<ul style="list-style-type: none"> <li>Review current impact-associated governance and professional services structures complete by 2025, and monitor satisfaction scores and engagement thereafter</li> </ul>

<p>and deliver an aligned fit for purpose service (impact <i>(Links with Building New Strategic Partnerships Workstream)</i>)</p>		<ul style="list-style-type: none"> <li>• LSE's institutional impact health has improved, measured against sector-relevant benchmarks (e.g., Bayley's 5Cs and impact role taxonomies)</li> </ul>
<p><b>Doctoral and early career researchers</b></p> <ul style="list-style-type: none"> <li>• Develop “&amp; impact” talent, including communication, innovation, and entrepreneurship</li> </ul>	<ul style="list-style-type: none"> <li>• Explore potential for the development of impact resources (people), including rapid response agile teams, that can be deployed across the institution to work with researchers in project teams</li> </ul>	<ul style="list-style-type: none"> <li>• Engagement with all work areas relevant to these researchers has increased and been useful</li> </ul>
<p><b>Funding</b></p> <ul style="list-style-type: none"> <li>• Develop growth plan for external income, including seeking relevant external grant and follow on funding, leveraging funding with non-academic partners, and increasing collaborative partnerships and activities for mutual benefit <i>(Links with Enhancing our Innovation and Entrepreneurship and Building New Strategic Partnerships Workstreams)</i></li> <li>• Ensure effective use of internal funding to generate external funding, facilitate an internal impact ecosystem, and grow impact activity to generate impact pipelines across different career, maturity, type, and discipline stages.</li> </ul>	<ul style="list-style-type: none"> <li>• Review baseline data for impact income across different sources and identify and help target opportunities for growth</li> <li>• Use income reporting for research, impact, and innovation (including contract research, PAGE, HEIF, Consulting, Generate, LSE Press, Executive Education, spin out sales and equity) to establish baselines and KPIs</li> <li>• Streamline and improve visibility, transparency, ease of use, and timeliness of “&amp; impact” funding pots (and include communication for engagement in this)</li> </ul>	<ul style="list-style-type: none"> <li>• The diversity of KEI income and volume of income has increased (type and trajectory of growth monitored annually via new reporting categorizations)</li> </ul>
<ul style="list-style-type: none"> <li>• <b>Impact hub:</b> Review the web presence, accessibility, interoperability across services and domains, usability, and comprehensiveness, of learning and support materials around “and impact” for faculty and doctoral researchers.</li> </ul>	<ul style="list-style-type: none"> <li>• Create a brand identity for “&amp; impact” to enable all activity to become recognized as connected</li> <li>• Establish an “&amp; impact” hub for all links, resources, assets with clear top lines linking to all other areas (cf. “a successful new impact toolkit, training and support impact website by 2030”)</li> <li>• Measure and evaluate use, reach, across all domains including use of hub, visibility of pages it connects with, engagement with content, use of activities</li> </ul>	<ul style="list-style-type: none"> <li>• Visibility, engagement, and use of all assets and activities via hub has increased</li> <li>• A brand identity for “&amp;impact” has been established and incorporated across the hub and related events</li> </ul>
<ul style="list-style-type: none"> <li>• <b>Training and support materials and assets:</b> Review existing training support for developing impact-focused research.</li> </ul>	<ul style="list-style-type: none"> <li>• Academic/PSS collaboratively provided support/training deep dives across all “&amp; impact” domains and uptake</li> </ul>	<ul style="list-style-type: none"> <li>• The visibility, engagement with, participation in, and utility of training programmes and assets has increased</li> </ul>
<ul style="list-style-type: none"> <li>• <b>REF2029:</b> Develop a focused plan for identifying, encouraging, and supporting development of high-quality REF 2029 case studies and KEI activity relevant to engagement and impact narratives.</li> </ul>		<ul style="list-style-type: none"> <li>• The new / service functions of the I&amp;I team has been established</li> <li>• There are at least n+1 REF ICS per UoA for 2029</li> </ul>

		<ul style="list-style-type: none"> <li>• There are numerous such narratives about HEI for REF impact and engagement template</li> </ul>
<p><b>LSE KEI Leadership</b></p>	<p><b>KPIs</b></p>	
<ul style="list-style-type: none"> <li>• <b>Internal leadership:</b> Strengthen senior strategic leadership of impact activity, through redesigning KEF Strategy Committee and a new academic leadership post.</li> </ul>	<ul style="list-style-type: none"> <li>• Appoint an Academic Director of Impact</li> <li>• Appoint an Associate Director of Impact and Innovation</li> </ul>	<ul style="list-style-type: none"> <li>• ADI is in place</li> <li>• ADI is in place</li> </ul>
<ul style="list-style-type: none"> <li>• <b>Strategy:</b> Establish LSE as world leaders for high-quality SHAPE KEI across all impact domains and their pathways and from influence and convening to training and thought leadership.</li> </ul>	<ul style="list-style-type: none"> <li>• Consider developing and flag-shiping the role of ‘impact fellow’; ‘innovation fellow’ (alongside other types of teaching, research, and policy fellow) as a way of attracting new and diverse talent to LSE in areas we currently operate in less</li> <li>• Leverage LSE Impact Blog and similar assets to amplify LSE’s convening power around impact to leverage external expertise and internal assets re KEI so it is a hub, influencer, etc. and mobilize the sector to be more impactful (BW)</li> <li>• Consider the value of a SHAPE version of science media centre-type hub</li> <li>• Identify opportunities to improve LSE’s position in respected league tables relating to KEI</li> <li>• Trial and experiment around identified pilots to see what works</li> </ul>	<ul style="list-style-type: none"> <li>• An LSE Impact Blog external prize series was initiated.</li> </ul>

**Include if relevant: Restricted and Confidential**

<b>Meeting and date</b>	[Research Committee], [26 <sup>th</sup> June 2024]
<b>Title of paper</b>	The Future of London – LSE Leading for London Roundtable Series (Part of Research for the World strategy: spotlight on enhancing civic engagement)
<b>Decision or Information item</b>	Information
<b>Purpose of paper</b>	To provide a spotlight on how the workstream is doing more to demonstrate LSE’s position at the centre of London-relevant debates and to enhance our convening role. It provides an update on a series of roundtables on the future of London
<b>Outcome requested</b>	The Committee is asked to note and provide feedback
<b>Restricted business</b>	No
<b>Author</b>	Greg Taylor, Head of Public Affairs
<b>Sponsor (if relevant)</b>	Name of sponsor and job title
<b>Previous consultation</b>	N/A

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**THE FUTURE OF LONDON – LSE LEADING FOR LONDON ROUNDTABLE SERIES  
(RESEARCH FOR THE WORLD – SPOTLIGHT ON ENHANCING CIVIC ENGAGEMENT/ CHAMPIONING AND  
COORDINATING LONDON-BASED ENGAGEMENT AND LONDON-FOCUSED RESEARCH)**

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**Background**

- The Research for the World Strategy commits to LSE enhancing the visibility, and the impact, of its London-relevant research and expertise.
- LSE already has excellent links to London government and institutions, through Professor Tony Travers, LSE London, LSE Cities and the Public Affairs team especially.
- However, we want to do more to demonstrate LSE's position at the centre of London-relevant debates and enhance our convening role, demonstrating value to our key stakeholders.
- This goal was also informed by the brand polling we recently undertook, which suggested that our community would like to see us taking a more active and visible leadership role in addressing London's challenges.
- Given the existential changes facing the capital – from Brexit, from the cost of living crisis, from the forthcoming general election – we decided to begin with existential discussions.
- We built up a series of roundtables, bringing together our academics and key London thinkers and policymakers, to thrash out the big threats and opportunities facing London.
- Led by Professor Tony Travers, and informed by senior contacts from City Hall, London Councils, and Parliament, the roundtables would highlight LSE research and expertise on the chosen theme, allow for discussion, and aid understanding of where further research might be valuable, as well as where mutual action might be taken.

**The Roundtables**

- We held 3 half-day roundtables over a 6 month period, covering:
  - The future of London's politics
  - The future of London's devolution
  - The future of London's economy
- External attendees who brought their expertise and ideas included: Paul Scully MP (former Minister for London), Karen Buck MP (Shadow Homelessness Minister), Jules Pipe (Deputy Mayor of London for Planning, Regeneration and Skills), Jeremy Skinner (GLA Assistant Director for Strategy, Insight and Intelligence), Leonie Cooper (London Assembly Member), Helen Bailey (Chief Executive of Sutton Council) and Andrew Carter (Chief Executive of the Centre for Cities).
- Each roundtable welcomed around 20 high-level stakeholders to LSE.
- Each session was led by Professor Tony Travers, and other LSE experts involved included Dr Anna Valero, Prof Neil Lee, Prof Ben Rogers, and the new London Policy Fellow, Jenevieve Treadwell.



- The roundtables included slide presentations from LSE experts setting out their research, as well as from external attendees like Jeremy Skinner (Assistant Director of Strategy, Insight and Intelligence), Paul Swinney (Director of Policy and Research at the Centre for Cities), and Dr Elizabeth Simon (the Mile End Institute), to add context and underpin next steps.
- The events were held under the Chatham House Rule to ensure people felt able to speak openly about contentious topics, such as the future of the mayoralty, Whitehall's relationship with City Hall, and why devolution has stalled.

### **Outcomes**

- We sought feedback from attendees, who not only were very happy with the content and focus of the events, but also had suggestions for future ones.
- We have pulled together a summary note which sets out the key challenges facing London on the themes discussed, as well as showing areas for potential further research.
- As well as circulating this amongst attendees we will also be including a write-up online to populate our revitalised "LSE in London" webpages.
- The London Policy Fellow has been undertaking research and data analysis off the back of the events, looking at how London's politics are changing, and what is likely to happen in the general election and beyond, and is discussing options for commentary with the LSE Blogs team.
- The discussions are also helping inform our thinking around a future LSE policy lab offer – what do our senior stakeholders want from LSE, where do they see clear research and data gaps, and how can we help to fill them? They also give us an excellent roster of senior figures who might act as a sounding board as we progress plans.
- We are discussing future event ideas with our external stakeholders and will revisit the series after the general election on 4 July. Ideas in contention include "Crime in the Capital" and "London and the Regions".



<b>Meeting and date</b>	Research Committee, 27 June 2024
<b>Title of paper</b>	DRU and Recognised Group Governance Amendment
<b>Decision or Information item</b>	Decision
<b>Purpose of paper</b>	To propose changes to the governance of Departmental Research Units and Recognised Groups
<b>Outcome requested</b>	The committee is asked to approve the changes to the Governance of Departmental Research Committees and Recognised Groups laid out in the paper.
<b>Restricted business</b>	No
<b>Author</b>	Casimira Headley-Walker, Committees and Governance Officer
<b>Sponsor (if relevant)</b>	None
<b>Previous consultation</b>	Research and Innovation, PVC Research

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## GOVERNANCE OF RESEARCH UNITS PROPOSAL

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As of the start of June 2024, LSE has a total of seven Departmental Research Units (DRUs), two inter-departmental Research Units (iDRUs), and 55 Recognised Groups. Governance for all of these units falls to the Research Committee. There has been some confusion over how these units are created, defined, reviewed, and closed.

This paper proposes the adoption of a set of proposal and report forms, and a set of criteria to differentiate between DRUs and Recognised Groups (Annexe A). It also proposes a set of activity criteria and the means by which inactive units should be closed.

Examples of current DRUs and iDRUs can be found in Annexe B. Examples of current Recognised Groups can be found in Annexe C.

### Unit Creation

DRUs are currently required to complete an application form. This is endorsed by their Head of Department, and then returned to the Research Committee. Recognised Groups are not required to complete an application form, but do need to be endorsed by their Head of Department/ Centre Director and then returned to Research Committee.

It is proposed that both types of unit be required to complete proposal forms. Similarly to Research Centre proposal forms, these will cover:

- Objectives
- Context
- Rationale
- Support from the School Community
- Director and Management Structure
- Resources Available
- Additional Information.

### Unit Reporting Procedure

Recognised Groups, DRUs, and iDRUs should be required to report to their Departmental Research Committees (DRCs) on an annual basis. Forms for this are provided in Annexes F and G. These DRCs are then required to submit a yearly report (Annexe H) to their group representative (Annexe I). If the group representative has any concerns about the reports, or if any units have become inactive, these should be reported to the School's Research Committee. All reporting forms will cover the same areas as Research Centre Review Pro-Formas:

- Future strategic direction
- Quality of research and publishing strategy
- Knowledge exchange and impact
- Internal and External Collaboration
- Financial viability
- Research Culture
- Research and KEI governance, integrity and ethics
- Succession planning

The alignment between the forms will enable comparisons to be made between unit levels. This may be useful if there is a question over which level the unit should be.



### Annexe A: Research Unit Matrix

	Creation Process	Reporting	Funding Requirements	Administration	Impact	Benefits	Closure
Research Centre	<p>Complete the Research Centre Proposal Form, with endorsement from multiple Departments.</p> <p>Present Research Centre Proposal form to Research Committee.</p> <p>Following approval from Research Committee, pass to Academic Board.</p> <p>There is an expectation that Centres who are receiving funding of over £1 million per year from a single grant will be an approved Major Academic Initiative (MAI). In this case, they are not required to</p>	<p>Reports to the Research Committee every four years as part of the regular cycle of Research Centre Reviews.</p>	<ul style="list-style-type: none"> <li>• ESRC or other Centre Grant</li> </ul> <p>or</p> <ul style="list-style-type: none"> <li>• Multiple, ongoing income sources totalling an average of at least £1 million over five years.</li> </ul>	<p>At least one funded administrator</p>	<p>Research Centres must demonstrate Engagement and Impact work in addition to their ongoing Research</p>	<p>Space, RIF funding, website presence</p>	<p>Any of the following:</p> <ul style="list-style-type: none"> <li>• Funding drops below the funding level laid out in the requirements</li> <li>• Centre Director leaves, and no replacement can be appointed</li> <li>• Affiliated staff are no longer enough to sustain the Centre</li> <li>• Recommendation by the Research Centre Reviewers</li> </ul>

	complete the Research Centre Application form, and can submit their grant application form, along with their MAI approval, to Research Committee and Academic Board for approval.  Committee						
Departmental Research Unit or inter-Departmental Research Unit	Complete the application form and submit to the Departmental Research Committee. Following approval by the DRC and the Head of Department, submit to the School Research Committee for confirmation. The School Research Committee may request further information, and may refuse the request.	Makes an annual report to the Department.	At least £100k a year, for at least three years.	May be grant funded. Some form of administration should be present.	Departmental Research Units are encouraged to undertake engagement and impact	Share of RIIF funding, website presence	End of funding, or inability to carry out objectives.
Recognised Group			No funding requirements, but there should be some form of a funding plan.		Recognised Groups are expected to carry out research, engagement and impact work, or a combination of the two.	Website presence	When the group is no longer able to sustain the activities listed in their application form.

### Annexe B: Departmental Research Units and inter-Departmental Research Units

Centre Name	Home Department	Creation	Funding at Creation	Funding	Administration	Impact	People	Closure Conditions
Centre for Analysis of Risk and Regulation	Accounting	Formerly an ESRC Centre	Originally funded by an ESRC grant, downgraded when grant ran out.			CARR run a number of events but do not seem to do any impact work.	3 administrative staff	
Digital Futures for Children (DFC)	Media and Communications	October 2023	Funded on a £750k grant over three years by the 5Rights Foundation	250k per year x 3 years	Report into DRC once a year.  Report into funders once every two months.	Only started in October 2023.	One RO provided by dept, funder covering everyone else.	Agreed by Research Committee that the DRU will be closed in the event that it no longer has funding. The administrator states that he anticipates future grants for this unit.
Electoral Psychology Observatory	Government	20 June 2019		In the past 5 years, received an ERC award for €2.5 million, an ESRC award of c£780k, a	Report to DRC yearly.	The EPO have undertaken a number of impact initiatives over the years, most of which are written up <a href="#">here</a> . The most compelling	Funded by funder.	



Centre Name	Home Department	Creation	Funding at Creation	Funding	Administration	Impact	People	Closure Conditions
				few small grants and some RIIF income		ones relate to improving the design of elections and polling stations in the Palestinian Territories, working with Opinium to improve their survey methodology, and a number of capacity-building initiatives with national and regional governments across the world. They also have at least a couple of innovation projects in the works.		
The Inclusion Initiative	PBS	Dec 2019	ESRC grant	Ongoing ESRC grant	Regular reports to ESRC	Impact is doing well in this unit, with Grace Lordan and Tom Reader both mentioned as likely to have	Provided by funder: no administrative staff.	

Centre Name	Home Department	Creation	Funding at Creation	Funding	Administration	Impact	People	Closure Conditions
						ICSs at the next REF.		
LSE-Fudan Research Centre for Global Public Policy	School of Public Policy	Nov 2018		CNY 1,750,000 (circa GBP 200,000) per annum	Reports annually to Fudan University.		Three, (1 x Hub Coordinator + 2 x Research Fellows)	The LSE-Fudan Agreement has been renewed for another five years, starting in 2025. The funding from 2025 to 2029 will go up slightly to CNY 2,030,000 / £225,000 per year
The Growth Co-Lab at LSE	School of Public Policy	Joint with Harvard					No administrative staff	Presumably end of Harvard collaboration.
LSE Global Health Initiative	<b>Health Policy</b> , International Development, PBS and Social Policy	01-Mar-18			Reports to funders, and yearly to Research Committee.	Impact work is being done, though is likely to be assigned to LSE Health.		
Religion and Global Society	Anthropology, Methodology, International Relations and the <b>Faith Centre</b>	05-Dec-19						

**Annexe C: Recognised Groups**

Centre Name	Home Department	Creation	Funding at Creation	Funding	Administration	Impact	People	Closure Conditions
Conflict and Civics Research Group	LSE IDEAS	Created in 2021 as the Conflict Research Programme. Changed name at request of FCDO.	£1,165,202	Annual income in 2022/23 of £1,141,660	<p>The programme reports into funders regularly.</p> <p>The Programme reports once a year their financials:</p> <ul style="list-style-type: none"> <li>• Income</li> <li>• Expenditure</li> <li>• New funding</li> <li>• Funding sources</li> </ul> <p>CRP reported on a quarterly basis to Prof Christopher Coker who also sat on CRP's Advisory Board.</p>	Strong impact profile and work.	Run by Mary Kaldor	None stated, presumably end of FCDO funding.
Contemporary Turkish Studies	European Institute	The LSE Chair in Contemporary Turkish Studies was founded in 2009 following a one time only donation	Funded by endowment.	Funding for chair goes towards research activities 100k a year. No additional sources of	Report to department yearly, report to funder, and advisory board.	Work of the RG depends on the chair and their driving interests, previous chair very interested in research,	One Research and Administrative Assistant. Building a group of visiting fellows, and looking for researchers	Potentially if the Chair fails.

Centre Name	Home Department	Creation	Funding at Creation	Funding	Administration	Impact	People	Closure Conditions
		and endowment.		funding right now.		less so in impact. Yaprak is trying to balance the two.	willing to affiliate with the unit.	
Criminal Law and Criminal Justice Theory Forum	Law		None	None		Limited impact, but the Forum conducts a great deal of engagement as part of their research seminar series.	Coordinated by Associate Professor Federico Pininali	None.
European Foreign Policy Unit	International Relations					Historically a high impact unit, this is now more limited. Impact largely REF based.		
Centre for Public Authority and International Development (CPAID)	FLIA							Closes in 2025, due to the end of their grant.
Legal Biography Project	Law							

Centre Name	Home Department	Creation	Funding at Creation	Funding	Administration	Impact	People	Closure Conditions
LSE London	Geography and Environment					LSE London leads one of the Research for the World Streams on civic engagement and has a dedicated impact page.		
NIHR Policy Research Unit in Adult Social Care	Care Policy and Evaluation Centre					Expected to submit an ICS at the next REF.		
Systemic Risk Centre	FMG	Formerly an ESRC centre						

## Annexe D: Application for new Departmental or inter-Departmental Research Unit(s)

### Background

*Unless the establishment of the DRU or iDRU follows the recommendation of Research Committee following the Review of a Research Centre, the establishment of a DRU or iDRU is by formal application from the relevant Head(s) of Department(s) to Research Committee.*

*The HOD (or HODs of the relevant Departments involved in the case of an iDRU) will need to make a clear and strong case to Research Committee indicating the benefits of a project or group of projects becoming a DRU or iDRU. They will be expected to show that becoming a DRU or iDRU will promote the success of research activity within the unit, and may attract academic involvement from across the School as well as other forms of external interest and possible collaboration. The application should provide evidence that DRU or iDRU designation will enhance the visibility of the research programme, increase the possibility of future funding, and assist with sustainability.*

*The HOD(s) should note the anticipated duration of the DRU or iDRU, which is expected to be three years or shorter depending on the duration of the proposed unit's main source of funding. In addition, in the case of iDRUs, the HODs of the Departments involved should have agreed an MOU detailing the structure of the management committee, and arrangements relating to responsibilities for administrative support, agreements on relating to budgets and financial controls including apportionment of RIF funding and other matters relating to the governance and operation of the iDRU which the Research Committee may require.*

Proposals will be evaluated against the following criteria:

- Will becoming a DRU or iDRU meet an existing or anticipated gap in interests or a critical mass of expertise, and not conflict with other academic units, at LSE?
- Does the funding meet the minimum threshold as set out by Research Committee?

Any queries may be directed to Jen Fensome ([j.fensome@lse.ac.uk](mailto:j.fensome@lse.ac.uk)) or to Susana Mourato ([s.mourato@lse.ac.uk](mailto:s.mourato@lse.ac.uk)).

Please save your response and send it back to Casimira Headley-Walker ([c.headley-walker@lse.ac.uk](mailto:c.headley-walker@lse.ac.uk)). Please ensure that any Heads of Department mentioned in the application are cc'd.

In accordance with these guidelines, please provide the following:

Name of HOD(s)	
Department(s)	
Name of Lead	
Name of Proposed DRU:	

What objectives does the DRU seek to fulfil?

What is the purpose of the DRU / iDRU? What value will be added to the Department through the formation of the DRU / iDRU?

Is there similar activity already present in the School? If so, has the application consulted with them? Will it compete with them for funding and recognition? What will receiving official recognition 'achieve' for the DRU?

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Please provide the names of any members of staff who have declared an interest in being affiliated with the DRU.

Who will serve as the director of the DRU? How will the DRU be managed? Will there be a management committee? Is there any form of succession planning for directorship of the DRU?

What financial/other support is available from external sources? This may include funding promises, infrastructure/database support, or the commitment of contract research staff to become involved in the unit's funded research work. If you have confirmed funding, please complete the table below. If you have any questions, please contact your [Research Awards Manager](#).

	Fiscal Year N-1 (if applicable)	Fiscal Year N/Current year	Fiscal Year N+1	Fiscal Year N+2	Total
<b>INCOME</b>					
<b>Secured Funding</b>					
Research Grant 1					
Other Source 1					
<b>EXPENDITURE</b>					
<b>Staff Costs</b>					
Position 1					
Position 2					
<b>Non-Staff Costs</b>					
<b>NET OVERHEADS</b>					
<b>BUDGET BALANCE</b>					

Additional Information. For those with unconfirmed funding, please describe your funding expectations and any funding strategy you may have.

If you are applying for an iDRU, please use this space to write an MoU for the iDRU for which you are seeking approval. In doing so, answer all of the applicable questions below:

- a) Will the directorship of the iDRU be rotating or permanent: if it will be a rotating one, how often will the directorship rotate, and in what order (if there are more than two Departments involved)?
- b) Other than the Management Committee, will there be any other bodies involved? (e. g. a project committee, or advisory body). Note that these are optional and will depend upon the size and scale of the iDRU and any funders' requirements.
- c) Are there plans to involve other Departments/units at School-level with the work of the iDRU in any way, perhaps in a less structured capacity? (e. g. associated bodies or similar status). How would those relationships be managed and what purpose would they serve?
- d) Will there be any further documents formalising the governance structure and rules in more detail? (e. g. a charter/constitution of the iDRU). Note that these are optional and will depend upon the size and scale of the iDRU and any funders' requirements.
- e) Which of the parent Departments will be the 'lead administrative unit', i.e. have the budget code/main responsibility for the iDRU? (Please note that this will normally be the Department in which the first Director of the iDRU is based. Only designate a different parent Department if you believe that an exception should be granted, and include a short explanation

**Thank you for providing this information.**

**If you are applying for a DRU, please save your response and send it back to [c.headley-walker@lse.ac.uk](mailto:c.headley-walker@lse.ac.uk) in a Word file. You must cc any relevant HODs.**



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## Annexe E: Application for a new Recognised Group

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### Background

Proposals will be evaluated against the following criteria:

- Will becoming a Recognised Group meet an existing gap, and not conflict with other academic units, at LSE?
- Does it have a clear set of activities which are above and beyond the work of a single person?

Any queries may be directed to Jen Fensome ([j.fensome@lse.ac.uk](mailto:j.fensome@lse.ac.uk)) or to Susana Mourato ([s.mourato@lse.ac.uk](mailto:s.mourato@lse.ac.uk)).

Please save your response and send it back to Casimira Headley-Walker ([c.headley-walker@lse.ac.uk](mailto:c.headley-walker@lse.ac.uk)).

In accordance with these guidelines, please provide the following:

Name of HOD:	
Department:	
Name of Lead:	
Name of Proposed Recognised Group:	

What kind of activities does the group intend to predominantly carry out (i.e., gathering research, impact, engagement)? What are your objectives?

What is the purpose of the Recognised Group? What value will be added to the Department through the formation of the Recognised Group?

Is there similar activity already present in the School? If so, has the application consulted with them? Will it compete with them for funding and recognition? What will receiving official recognition 'achieve' for the Recognised Group?

Please list the staff who have agreed to be members of the group.

Who will be the Recognised Group Lead?
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Do you have any funding already received? If so, please describe it. If not, please describe if and how you intend to seek out funding to support your activities.
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Additional Information
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**Annexe F: DRU (or iDRU) Annual Monitoring Form**

Name of HOD:	
Department:	
Name of Lead:	
Name of DRU or iDRU:	

How have you progressed in the objectives set out in your application form? Do you foresee any challenges?

Over the past year, what have your Research and Engagement / Impact highlights been?

What is your financial status for the current and next fiscal year? Please fill out the table below, including further projections if these are available. Feel free to add any context which you feel is needed.

	Fiscal Year N-1 (if applicable)	Fiscal Year N/Current year	Fiscal Year N+1	Fiscal Year N+2	Total
<b>INCOME</b>					
<b>Secured Funding</b>					
Research Grant 1					
Other Source 1					
<b>EXPENDITURE</b>					
<b>Staff Costs</b>					
Position 1					
Position 2					
<b>Non-Staff Costs</b>					
<b>NET OVERHEADS</b>					
<b>BUDGET BALANCE</b>					

How do you feel that the group contributes to your Departmental Research Culture? (e.g training and development, career and skills support, ED&I, OA, responsible use of bibliometrics, citizenship, codes of conduct etc.)

How has the Department supported you over the last year? Is there anything that you would like to highlight?

Have any changes been made to your management and governance? Do you plan any changes in the next year? Please confirm what, if any, succession planning is in place.

Is there anything you would like to add to your report?

**Annexe G: Recognised Group Annual Monitoring Form**

Name of HOD/Centre Director:	
Department/Centre:	
Name of Lead:	
Name of Proposed Recognised Group:	

How have you progressed in the objectives set out in your application form? Do you foresee any challenges?

Over the past year, what have your Research or Engagement / Impact highlights been? Do you have any anticipated highlights in the next year?

What is your financial status for the current and next fiscal year? Please fill out the table below, including further projections if these are available. Feel free to add any context which you feel is needed.

	Fiscal Year N-1 (if applicable)	Fiscal Year N/Current year	Fiscal Year N+1	Fiscal Year N+2	Total
<b>INCOME</b>					
<b>Secured Funding</b>					
Research Grant 1					
Other Source 1					
<b>EXPENDITURE</b>					
<b>Staff Costs</b>					
Position 1					
Position 2					
<b>Non-Staff Costs</b>					
<b>NET OVERHEADS</b>					
<b>BUDGET BALANCE</b>					

How do you feel that the group contributes to your Departmental Research Culture? (e.g training and development, career and skills support, ED&I, OA, responsible use of bibliometrics, citizenship, codes of conduct etc.)

How has the Department supported you over the last year? Is there anything that you would like to highlight?

Have any changes been made to your management and governance? Do you plan any changes in the next year? Please confirm what, if any, succession planning is in place.

Is there anything you would like to add to your report?

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**Annexe H: Departmental Research Committee Annual Report Form**

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Please email this to (), cc'ing Casimira Headley-Walker

Department:	
Name of HOD:	
Name of DHOD (Research):	

Please list the Research Units (e.g. DRUs, Recognised Groups) within your department, along with their current leads. In the case of any iDRUS, please note the other departments involved, and indicate which is the lead department.

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What have the activity highlights been of the last academic year for each research unit within your department?

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Are there any planned events for the next year which you would like to highlight?

--

For units which have ongoing funding, please note your funding sources, budget for the current academic year, and anticipated budget for the next academic year.

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Are there any matters which should be discussed directly with the PVC (Research)?

--

Have any units failed to meet their activity targets for the year? If so, how can the School best support them?

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**Annexe I: Group Membership (2024/25)**

Group	Research Committee Members	Departments & Institutes
I	<ul style="list-style-type: none"> <li>Stefano Cascino</li> <li>Maria Correia</li> </ul>	<ul style="list-style-type: none"> <li>Accounting</li> <li>Finance</li> <li>Management</li> <li>Marshall Institute</li> </ul>
II	<ul style="list-style-type: none"> <li>Professor Michael Bruter</li> <li>Dr Tiziana Leone</li> </ul>	<ul style="list-style-type: none"> <li>Firoz Lalji Institute for Africa (FLIA)</li> <li>Government</li> <li>International Development</li> <li>International Relations</li> <li>European Institute</li> <li>School of Public Policy</li> </ul>
III	<ul style="list-style-type: none"> <li>Professor Fiona Steele</li> </ul>	<ul style="list-style-type: none"> <li>Data Science Institute (DSI)</li> <li>Economics</li> <li>Statistics</li> <li>Mathematics</li> <li>Philosophy and Logic</li> <li>Methodology</li> </ul>
IV	<ul style="list-style-type: none"> <li>Dr Gharad Bryan</li> <li>Dr Nick Long</li> </ul>	<ul style="list-style-type: none"> <li>Sociology</li> <li>Social Policy</li> <li>Health Policy</li> <li>Anthropology</li> <li>Psychological &amp; Behavioural Studies</li> <li>Gender Institute</li> <li>Media &amp; Communications</li> <li>International Inequalities Institute</li> </ul>
V	<ul style="list-style-type: none"> <li>Professor Albrecht Ritschl</li> <li>Dr Kasia Poprocki</li> </ul>	<ul style="list-style-type: none"> <li>Law</li> <li>Geography &amp; Environment</li> <li>Grantham</li> <li>Intl History</li> <li>Econ History</li> </ul>
VI	<ul style="list-style-type: none"> <li>Dr Raphael Wittenberg</li> </ul>	Senior Research Staff



### Application for establishing the LSE Iranian History Initiative as a Recognised Group

1. The Iranian History Initiative (IHI) was established in the Department of International History in 2024 by three members of academic staff – Dr Roham Alvandi (Associate Professor), Dr David Motadel (Associate Professor), and Dr Gagan Sood (Associate Professor) – to advance the study of the modern history of Iran and the Persianate World at the LSE.
2. The IHI is a network of colleagues in the Department of International History who have engaged in fundraising activities for the past four years to create opportunities for staff and PhD students in the Department to pursue their research on modern Iranian history, to host lectures by senior historians of modern Iran at the LSE, and to attract outstanding visiting scholars and PhD students to do research on the modern history of Iran at the LSE. In doing so, we hope to establish the LSE as the primary European hub for the study of modern Iranian history, on par with such centres in the United States at Stanford University and New York University.
3. The LSE IHI has to date secured commitments for approximately £222,000 of donations to fund its activities for the next five years.
  - 3.1 In August 2021 a gift agreement was signed for a donation of £15,000 to fund the Gholam-Reza Nikpay Annual Lecture in modern Iranian history. The first annual lecture was held in October 2023 and the second annual lecture is planned for October 2024.
  - 3.2 In May 2024 a gift agreement was signed for a donation of £46,907 to fund the Amir Khosrow Afshar Visiting Fellowship in Iranian History and the Amir Khosrow Afshar Student Essay Prize in Iranian History for five years. The first Afshar Fellowship and the first Afshar Essay Prize will be awarded in 2024-25.
  - 3.3 In 2024, commitments totalling £160,000 were made by the LSE PhD Academy and a private donor to jointly fund one PhD Fellowship in modern Iranian history beginning in 2024-25. We hope to sign the gift agreement for this donation shortly.
  - 3.4 These donations will sustain the activities of the IHI until the 2028-29 academic session.
4. We are applying to the Research Committee for the status of a Recognised Group to provide a distinct institutional home for these various activities, which we hope will be a first step towards a larger Departmental or Inter-Departmental Research Unit in the future.
5. In our discussions with potential donors, they have indicated to us that they want to see the creation of such a unit, complementing existing centres and departments, that can be the custodian of their philanthropic donations and promote our shared ambitions for establishing LSE as a European hub for the study of modern Iranian history.
6. The Department of International History enthusiastically supports this initiative with the approval of both the Head of Department, Professor Marc Baer, and the Department's Research Committee in May 2024.
7. We have consulted with Dr Michael Mason, Director of the LSE Middle East Centre (MEC), who also supports the establishment of the IHI as a Recognised Group. Dr Mason concurs that the IHI's work on modern Iranian history would complement, rather than overlap with, the work of MEC on contemporary Iranian politics.



## **Updates and Revisions to Recognised Group Proposal**

### **Dr. Liam F. Beiser-McGrath, 11/06/24**

I want to start by thanking you for your previous consideration and comments on the recognised group proposal. The changes made in response to this I believe have strengthened the proposal and aided in clarifying its value added to the LSE community. In what follows, I detail the updates and revisions taken in response to the 20<sup>th</sup> April 2024 meeting of the Research Committee.

The first major change has been to rename the recognised group to the Sustainable Social Policy and Welfare States Research Hub. This change has made clearer its distinct contribution to both the Social Policy department but also the School as a whole. In doing so, this should also avoid any perception of competition and overlap with existing institutions, and better articulate the relevance of this research group being hosted as Social Policy departmental initiative, that is nonetheless open for other researchers in the School to engage with.

Second, there is support for the recognition of group from LSE units working in related areas, with no concerns of overlap and competition. As recommended by Research Committee, we have engaged in further consultation outside of the Social Policy department. Through Professor Armine Ishkanien (Research Programme Co-Leader (Politics of Inequality) and Executive Director AFSEE programme) the proposal was shared amongst the International Inequalities Institute Executive Team who expressed support for the initiative. I have also corresponded with Professor Declan Conway (Research Director of the Grantham Research Institute) who supported the proposal and circulated it further amongst interested researchers at the GRI to engage in and participate (building upon existing members who are GRI affiliates). Finally, I have corresponded with Dr. Miqdad Asaria (Department of Health Policy) who enthusiastically supports the initiative and expressed interest in being kept up to date with events organised.

Finally, regarding the necessity of the recognised group status, this stems out of the department's REF Environment strategy and Strategic Plan agreed upon following Departmental Review. The establishment of this new recognised group is central to both of these endeavours, for the reasons outlined previously and in the proposal document. To ensure that this is credible in the Department's REF Environment submission and continued progress laid out in the Strategic Plan it is therefore necessary to have formal recognition of the group. Moreover, effective functioning of the group necessitates formal recognition given departmental regulations and procedures regarding access of RIF.

## **Proposal for Recognised Group entitled the Sustainable Social Policy and Welfare States Research Hub**

Climate change is one of the defining challenges of our time and is increasingly understood as an integral component for the development of social policy and welfare states in the coming years.

The recognised group is being proposed, to position the LSE as a leader in the emerging field of eco-social policy and sustainable welfare. These emerging research areas within social policy speak to the growing need to integrate environmental sustainability with social equity, in addressing the intertwined challenges of ecological degradation and social inequality.

In this regard, a cluster of researchers working on this topic within the department has emerged in recent years. Parallel to this, student demand for understanding the relation between social policy and climate change is high with 52 students enrolled in our department's MSc course, many of whom plan to write dissertations on the topic.

Recognising the success of other recognised groups within the department in increasing the visibility of our research both internally and externally, as well as providing avenues for new initiatives and collaborations, we thereby propose establishing a recognised group called entitled the **Sustainable Social Policy and Welfare States Research Hub**.

The goal of the research hub is to provide a space for new initiatives relating to research and teaching that contribute to the department's research environment, while consolidating and building upon existing activities of members (e.g. EPG Online Seminar series) that have proven successful.

The research hub fills a distinct gap in the current research infrastructure of the department, that is increasingly emerging within policy and academic discussions across Europe. The agenda of the research hub is also unique in the broader LSE environment, complementing research institutes such as the Grantham Research Institute and International Inequalities Institute.

Finally, the group offers an accessible entry point for the “climate curious” within the field of social policy, who may be considering moving their research in the direction of this critical societal challenge.

At this stage, the following activities are expected to be pursued by the group:

**Online Seminar Series:** in collaboration with the Environmental Politics and Governance network we have organised the EPG Online seminar series featuring presentations from researchers all over the world. This also includes in-person viewings (temporarily suspended while located in STC) which have been attended by colleagues within the LSE, from other universities, and MSc students, offering chances for

intellectual exchange and network building. Formation of the recognised group will solidify this as a part of the department's research environment.

**Work-in-Progress Seminar:** the recognised group would also host a work in progress seminar for those researching in the area. While predominantly featuring researchers from the group, there is also the possibility to extend opportunities to researchers from other universities and visiting researchers. Frequency of the seminar will depend upon member demand; however, it is expected that it will run at least once per month.

**Masters Dissertation workshop:** reflecting the increased demand and interest of students in the topics of climate change and the environment, members of the group are frequently engaging with non-mentee students during office hours in relation to dissertations. One goal of the research group would be to hold an annual Masters Dissertation workshop for MSc students writing a dissertation on this topic. The one-day workshop, likely in ST, would offer students the opportunity to discuss their work in progress and build community amongst those working on this topic. Depending on the speed at which the group is approved the first of such workshops could be held in ST of AY '23/'24.

**Inter-university collaboration:** Members of the group presently have contacts with other research groups at other London universities such as King's College's Environment and Public Policy group and UCL's Climate Politics research cluster. Collaborating through an established recognised group will further consolidate these connections, as well as take advantage of funding instruments from other institutions that facilitate inter-university collaboration.

**Intra-university collaboration:** The group also will help foster intra-LSE collaboration, given the array of faculty working on related research that are spread across different departments and institutes. The chair is presently affiliated with the Grantham Research Institute and Data Science Institute, maintaining good relations with researchers in this various institutes. This will therefore help establish a base for continued cooperation and coordination with regards to initiatives such as large cross-departmental grant applications that are increasingly common in the area of climate change and the environment.

**Public Presence:** Having a centralised presence will help increased external visibility of the research conducted within the department relating to this topic. This will involve the hosting and publicising of research outputs, policy briefs, and media communications thereby helping in knowledge exchange and impact efforts.

The group's activities are primarily funded through a departmental contribution of £800 pa. It is expected that the group will also additionally apply to internal departmental RIF monies for larger-scale research projects/workshops as well as exploring other external sources of funding. For teaching related activity, e.g. Masters workshop, any required expenses would be sourced from the relevant departmental funds.

**Group Members (Social Policy):**

Dr. Liam F. Beiser-McGrath (Assistant Professor, Chair)

Dr. Tania Burchardt (Associate Professor)

Dr. Shekhar Chandra (Fellow)

Sam Nadel (PhD Student)

Dr. Virgi Sari (Fellow)

Dr. Kitty Stewart (Associate Professor)

**Group Members (Other Departments/Centres):**

Prof. Ian Gough (Visiting Professorial Fellow, CASE)

Dr. Michael Lerner (Assistant Professor, Government)

Dr. Chiara Sotis (Fellow, Economics)

Dr. Noah Zucker (Assistant Professor, International Relations)

**PAPER X**

<b>Meeting and date</b>	Research Committee, 26 <sup>th</sup> June 2024
<b>Title of paper</b>	Introduction to Rights Retention Strategies and the need for a new Open Access policy
<b>Decision or Information item</b>	Decision
<b>Purpose of paper</b>	The School's Open Access (OA) policy was last updated in 2014 and since then there have been numerous developments in Open Access and in terms of the funder policies researchers are required to abide by. The Library is proposing a new policy to simplify OA processes for researchers without impacting on the freedom to publish where they choose and allowing them greater control over their research outputs.
<b>Outcome requested</b>	The Committee is asked to approve the revised OA Policy and comment on any areas where they have concerns.
<b>Restricted business</b>	No
<b>Author</b>	Rosie Higman, Open Research Services Manager
<b>Previous consultation</b>	This paper has been developed with input from Susana Mourato and Charles Stafford

## Introduction to Rights Retention Strategies and the need for a new Open Access policy

The increasingly complex policy landscape following the introduction of a new UKRI Open Access (OA) policy in 2022, other funders mandating immediate OA, and a new OA policy for REF 2029 expected to start in January 2025 is imposing an increased administrative burden on researchers.

In response to this many universities, including Oxford, Cambridge, UCL and Imperial, have introduced new policies which allow the author or institution to retain the copyright in their accepted manuscripts for the purposes of making a copy openly available. There is also international precedence; after Harvard University introduced a similar policy in 2008 over 50 US institutions also adopted rights retention policies and they have been joined by over 15 European universities and several others in Canada, Australia and New Zealand.

Introducing a similar policy at LSE would simplify OA processes for researchers without impacting on the freedom to publish where they choose and allowing them greater control over their research outputs. In order to make this change it would be necessary to update the institution's open access policy. These changes would ensure that researchers retain their copyright and LSE has the necessary permissions to post a copy on the repository. These developments present a number of options:

1. Make no policy changes. This would create a high risk of research outputs not being compliant with funder requirements (which are part of the terms and conditions of accepting the grant) and not being able to be submitted to REF 2029. There would also be substantial additional costs involved in making outputs open access via the 'gold' route.
2. Introduce an OA policy with an 'opt-in' process for retaining copyright. This would involve all researchers including a statement on each research paper assigning an open licence to the paper to allow it to be made immediately available open access in LSE Research Online. There would be an additional administrative burden for the Library in checking that this statement is present in each paper, and where it is not present managing embargoes and paying to make these outputs open access via the 'gold' route.
3. Introduce an OA policy with an 'opt-out' process for retaining copyright. The Library would contact publishers to inform them that LSE is applying an open licence to all outputs published by LSE researchers, and then make a copy openly available in LSE Research Online immediately upon publication (or with an embargo for monographs) unless researchers choose to opt-out of the policy. Where researchers opt-out of the policy they would need to find a different route to make their outputs openly available.

We are recommending the third option and have drafted a new OA policy on this basis, but any changes would be made after extensive consultation to ensure that the new policy and processes meet the specific needs of LSE researchers.



## FAQs

### **Will rights retention be onerous for academic staff? How much extra work would academic staff be required to do under each option?**

The 'opt-out' option would be the least onerous for academic staff as it would require no additional work in most cases. The 'opt-in' option would require staff to apply a rights retention statement to every manuscript they submit, and this may lead to debates or negotiations with editors. Not changing the policy would require the most work as for each output the researcher would be required to check that the journal, or publisher for monographs, has a route to open access which complies with the requirements for REF2029 and/or their research funder, and find funding where the only option is paid-for open access.

### **What happens if my co-authors do not agree with the rights retention policy?**

You can opt-out of the policy for that paper if your authors will not agree to rights retention.

### **What are the consequences of opting out of the rights retention policy?**

If you opt-out of the policy this would mean that you would have to find a different route to make the output open access and ensure that it is compliant with REF requirements. This may mean paying a charge or choosing a journal which permits deposit in LSE Research Online with a CC BY licence and an embargo period of less than 12 months.

### **Is there a risk that publishers will choose not to publish my outputs because of the rights retention policy?**

Many other institutions have already implemented similar policies for journal articles, and we are not aware of any publications being rejected due to a rights retention policy. This is a newer area for monographs so we do not currently know how publishers will respond, but there will be an opt out and support from the Library for discussing these issues with publishers.

### **Can I sign up to rights retention for journal articles but opt out for books?**

Yes, the opt-out form will allow researchers to select which outputs they want to be excluded, as well as indicating why an opt out is being applied for. This will help us understand barriers to OA.

### **Does this mean LSE will own the copyright for my outputs?**

LSE will be asserting a non-exclusive, irrevocable, worldwide, royalty-free licence to make manuscripts of the scholarly outputs of staff publicly available. This will not affect the copyright ownership of any outputs, but researchers will be strongly encouraged not to sign copyright transfer agreements which assign their copyright to publishers.

### **Is this compatible with LSE's IP policy?**

Yes, the IP policy already includes provisions encouraging researchers to make their work openly available and clause 1.2.1 allows for "more detailed provisions of separate agreements entered into by LSE with members of staff, students, visitors or third parties...broadly consistent with the principles set out here."

### **Can I change my mind and opt out later?**

The opt out will be on a publication-by-publication basis so it would be possible to opt out for your next publication.

# Open Access Publications Policy

## Introduction

LSE supports the freedom of authors to choose the most appropriate place in which to publish research outputs and acknowledges that LSE staff, students and visitors own the copyright in their scholarly works created in the course of their employment or studies. It encourages researchers to ensure quality and impact when selecting where to publish and use dissemination channels which maximize knowledge exchange and impact.

Open Access (OA), making peer-reviewed outputs of scholarly research freely available online, is a publishing model which supports the widest possible dissemination of research outputs. This increases the opportunities for LSE research to make societal and academic impact.

Open Access is mandated by most major research funders, including UKRI, and is a requirement for outputs being submitted to the REF (Research Excellence Framework). Funder policies increasingly require outputs to be made OA immediately, or with very short embargo periods, which require an updated approach. LSE therefore adopts the policy below.

## Scope

The policy applies to all LSE staff, as defined in the [LSE Intellectual Property Policy](#), and postgraduate research students. It does not apply to visitors to LSE, although they are strongly encouraged to make their research openly accessible where possible.

The policy applies to all peer-reviewed research outputs including journal articles and conference papers in a publication with an ISSN, monographs, book chapters, scholarly editions and edited collections. Researchers are strongly encouraged to make working papers, preprints, reports and other research publications openly available but they are out of scope of this policy.

The policy applies to all journal articles and conference papers accepted on or after 1st January 2025 and to monographs, chapters and edited collections contracted on or after 1st January 2026.

## Policy

1. All LSE staff and postgraduate research students must provide a copy of their Author Accepted Manuscript (AAM) and the details of all in scope publications to LSE Research Online (LSERO) at the point of acceptance and no later than the date of first online publication.
2. Upon acceptance for publication each staff member and postgraduate research student agrees to grant LSE a non-exclusive, irrevocable, worldwide licence to make the accepted manuscript of their scholarly publications publicly available. A [Creative Commons Attribution \(CC BY\) licence](#) will be applied to most research outputs, a more restrictive licence will be permissible for monographs, edited collections and book chapters and, in exceptional circumstances, for other publications.
3. Authors must ensure publications include an acknowledgement of the source of funding for the research on which publications are based and a statement on how underlying research materials, such as data samples or models, can be accessed in line with the School's [Research Data Management Policy](#).

4. The metadata will be made immediately available in LSE Research Online on deposit. The AAM of journal articles, conference papers and book chapters will be made available on the date of first online publication (or as soon as is practically possible thereafter). The AAM of monographs and edited collections will be made available no more than 24 months from the date of first online publication or as required by the research funder.
5. If an author publishes in a venue or with a publisher who supports immediate open access for the final version of record, publication details must be provided to LSE Research Online at the point of acceptance, and notification sent at the point of publication, at which point the final published version will be made available in LSE Research Online.
6. The School recognises that there may be situations beyond an author's control where it is difficult to follow this policy exactly. Where a researcher wishes to opt out of the requirement for immediate open access upon publication, or the application of a CC BY licence, they should, as soon as reasonably practicable and prior to submission of the work for publication, fill in this form [link to follow] to request to opt out of the relevant requirement(s) of this policy. Any such request will be reasonably considered but will not be granted where to do so would put the School (or the relevant researcher) in breach of a funder requirement.

## Responsibilities

7. Authors are responsible for providing publication details, funding information and the full-text of their publications to LSERO ([lseresearchonline@lse.ac.uk](mailto:lseresearchonline@lse.ac.uk)) prior to publication; and for familiarising themselves with their funders' open access policies. Authors are also responsible for making their co-authors aware of LSE's Open Access Policy.
8. LSE Library will provide services and advice to enable authors to comply with the policy. Information and advice is available at: <https://www.lse.ac.uk/library/research-support/open-access>

**Rosie Higman, Open Research Services Manager**  
**Claire Delahunty, Repository Manager**  
**Lucy Lambe, Scholarly Communications Officer**

**With input from:**  
**Niamh Tumelty, Director of LSE Library**  
**Beth Clark, Associate Director, Digital Scholarship and Innovation**  
**Wendy Lynwood, Copyright Officer**



## Research Centre Review Pro-forma

### Statement of purpose

Research Committee is obliged to review all Research Centres on a regular basis. The principle aim is to establish the extent to which research within Centre is contributing to the LSE's overall founding objective which is for the 'betterment of society' To that end, Research Centres are asked to provide information on the following areas:

- Future strategic direction
- Quality of research and publishing strategy
- Knowledge exchange and impact
- Internal and External Collaboration
- Financial viability
- Research Culture
- Research and KEI governance, integrity and ethics
- Succession planning

While Research Committee needs evidence to support these requirements, Research Centres are not required to provide exhaustive lists of information: the highlights requested in the template below will suffice and responses can be brief.

The completed pro-forma should not exceed **20 pages** in length.

<b>Name of Centre</b>	
<b>Centre Director</b>	
<b>Centre Manager</b>	

### Part A: Future strategic direction

Please briefly describe the Centre's primary objectives. Comment on the relative importance of high-quality academic research, policy research, engagement and impact for the Centre.

Please describe the Centre's progress against targets and objectives set out in the previous Centre review.

Please outline the major strategic goals for the Centre over the next five years. (Research, Engagement, Collaboration, etc). Indicate how they link to the 'Research for the World' strategy

What do you perceive to be the most distinctive and innovative features of the Centre's research engagement and impact programme and knowledge exchange activities?

What do you perceive to be the most significant challenges the Centre faces now and over the next five years?

### **Part B: Quality of research and publishing strategy**

What are the highlights of your research and publications? Please refer to the citation data in your answer.

What proportion of the Centre's research staff (or number of staff) do you envisage will have sufficient high quality research outputs to enable them to be submitted to any future REF exercise?

How does the Centre support Open Research, and the responsible use of metrics and research evaluation?

How important are affiliate staff to your centre? How many do you have, and how do you track them? What do these collaborations enable?

### **Part C: Engagement and Impact**

What have your highlights been for Knowledge Exchange and Impact?

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Are there any Impact Case Studies identified for the next REF? How can the School help support these?

Who are your most important collaborators (academic and non-academic)? How are these relationships managed?

Does the Centre engage in any innovation/commercialisation activities, and what support is offered to staff?

**Part D: Financial viability**

Please comment on your financial situation (key successes, key challenges and risks)

Please comment on your income sources (eg grants, philanthropy, contract research), including the diversity of your income? Please refer to the financial report included.

What is your strategy to raise funds for the Centre (i.e., types of funders and particular funding sources/collaborations)?

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**Part E: Research Culture**

How would you describe the Centre's Research and Impact Culture?

How do you support the career progression and mentoring of Research, Policy and PSS Staff?

Does the Centre provide opportunities for students, including post-graduate research students and visiting students?

Please comment on staff EDI (including the Advisory Board). How does the Centre ensure it meets LSE's principles of diversity? (such as HR Excellence in Research)

**Part F: Governance, integrity and ethics**

Please describe your governance structure, including any separately named and identified sub-units in the Centre (e.g. anything with its own named section on the LSE website)

Please evaluate the Centre's relationship with its host Department / Institute.



What parts of the School infrastructure and support do you currently use? What could be improved?

Please describe your succession planning.

**Annex A****Data to be provided centrally for Research Centre Review****Research quality data**

Type of data	Data source	
Staff submitted to REF 2021	Research and Innovation records	REF Support Manager
Citations for the most significant outputs produced by research staff and academic staff affiliated to the Centre, as identified by the Centre. (These will be amended to focus on a wider set of responsible and benchmarked metrics to enable Centres to interpret and think strategically about research quality and KEI indicators in context)	LSE Library	Research Centre

**KEI data**

Type of data	Data source	
ICSs submitted to REF 2021 generated by the Centre	Research and Innovation records	Research Governance and Impact Team
Draft ICSs under consideration for future REF exercises	Research and Innovation records	Research Governance and Impact Team
HEIF applications made and success rates (plus details of HEIF awards made)	Research and Innovation records	Senior Research Awards Manager

**Staff data**

Type of data	Data source	
Research staff: names, salary bands, FTE, funding source, start date of employment, whether their current contract is fixed term (if so, end date) or open ended, and whether their contract would make them eligible for the REF	HR records	HR Systems
Support staff: names, salary bands, FTE, funding source, start date of employment, whether current contract is fixed term (if so, end date) or open ended.	HR records	HR Systems
Analysis of equality and diversity of staff including of salary bands and rates of promotion in the last 5 years including all protected characteristics, and intersectionality, and comparison of this data to the data at the 2014 and 2021 REFs.	HR records	HR Systems

**Financial data**

Type of data	Data source	
Full set of accounts, as agreed by RD, Finance Division and Planning Unit	Research and Innovation data	Research Awards Team

Research income per grant-funded researcher	Research and Innovation data	Research Awards Team
Current RIF funds available to the Centre	Research and Innovation data	Research Awards Team
Grant applications and success rates	Research and Innovation data	Systems and Operations Manager
Grants applied for and awaiting funding decision	Research and Innovation data	Systems and Operations Manager
Total Consulting income, salary bands of consulting staff, areas of consultation, activity type, partner	Research and Innovation data	LSE Consulting

### Research ethics

Type of data	Data source	
Applications to the Research Ethics Committee over the last 5 years, with a summary of issues and outcomes	Research and Innovation data	Research Governance Manager
A summary of Research Ethics applications which have not come to the Research Committee over the last five years.	Centre	Centre Director

### Existing reports

Type of data	Data source	
Reports to funders	Research and Innovation or Centre	Centre Director
Minutes of Advisory Board meetings for the previous two academic years	Centre	Centre Director
Minutes of Management Board meetings for the previous two academic years.	Centre	Centre Director
LSE internal audit reports where these have been conducted	Internal Auditors	Auditors



**RESEARCH & INNOVATION MANAGEMENT INFORMATION REPORT JUNE 2024**

*The data is current as of 21<sup>st</sup> May 2024.*

**Research, Impact and Innovation Income**Income Metrics: Key Information

This report presents consolidated reporting that has been conducted for all income received related to Research, Impact, and Innovation across LSE. The **values used relate to actual income received in year, not awards or pledges made**. This data is drawn from three years of financial records held in One.Finance and includes competitively won grants and projects, hypothecated and QR income and activities arising from fundraising and strategic programmes across the school. Details in the Key Information table (Annex A) provide information on the categories included in each stream.

Income Metrics: Summary

**Update June 2024:** We have provided further granularity on the breakdown of income received by category of research, impact and innovation income.

Income Stream	FY 20/21	FY 21/22	FY 22/23
<b>Research</b>	<b>£55,069,628</b>	<b>£56,611,892 (3%)</b>	<b>£64,173,583 (13%)</b>
R&I Research Income	£24,609,604	£27,283,052 (11%)	£30,130,520 (10%)
PaGE Research & Research-related	£4,195,325	£2,402,257 (-43%)	£7,217,013 (200%)
Collaborative Research	£3,327,684	£3,491,231 (5%)	£3,781,507 (8%)
Training Grants	£1,930,561	£2,240,659 (16%)	£2,823,487 (26%)
Quality Related Funding	£21,006,454	£21,194,694 (1%)	£20,221,055 (-5%)
<b>Impact</b>	<b>£27,694,539</b>	<b>£30,408,968 (10%)</b>	<b>£34,776,108 (14%)</b>
HEIF and Hypothecated Funding	£4,777,802	£6,409,857 (34%)	£6,068,166 (-5%)
Consultancy	£3,301,640	£2,655,415 (-20%)	£3,860,687 (31%)
Academic Speakers Bureau	£0	£32,606 (100%)	£508,885 (94%)
LSE Consulting Exchanges	£0	£792,699 (100%)	£833,044 (5%)
Contract Research	£4,889,172	£2,616,876 (-46%)	£1,646,585 (-37%)
PaGE Corporate Engagement Income	£628,873	£826,615 (31%)	£266,813 (-68%)
International Growth Centre FCDO Award	£8,346,110	£8,283,918 (-1%)	£9,430,083 (12%)
LSE Generate	£0	£200,000 (100%)	£322,600 (38%)
LSE Press	£0	£1,086 (100%)	£1,159 (6%)
Publication Licensing Royalties	£16,256	£7,245 (-55%)	£22,340 (68%)
Training	£166,557	£2,466,400 (1381%)	£1,699,794 (-31%)
Executive Education	£5,568,129	£6,116,251 (10%)	£10,081,111 (39%)
Facilities Income	£0	£0 (0%)	£34,841 (100%)
<b>Innovation</b>	<b>£2,388,360</b>	<b>£1,582,864 (-34%)</b>	<b>£2,266,192 (42%)</b>
Spin out equity shares	£0	£0 (0%)	£0 (0%)
Spin out sales	£0	£0 (0%)	£0 (0%)
Spin out dividends	£0	£0 (0%)	£0 (0%)
Innovation Revenue Sharing	£100,000	£100,000 (0%)	£292,597 (66%)
Innovation Capacity Investment	£2,177,965	£1,407,621 (-35%)	£1,890,235 (26%)
LSE Generate	£0	£0 (0%)	£0 (0%)
Knowledge Transfer Partnerships	£0	£0 (0%)	£0 (0%)
Research Innovation Grants	£110,395	£75,243 (-32%)	£83,360 (10%)
<b>Total</b>	<b>£85,152,527</b>	<b>£88,603,724 (4%)</b>	<b>£101,215,882 (14%)</b>

Table 1. Income received over the last 3 years by category.

Research, Impact and Innovation streams all show growth from 2020/21 to 2022/23 with the **total growth across streams for the 3 years reaching almost 20%**. Impact income streams experienced the highest growth in this period (£27M to £34M; 26%). Innovation reduced by 5%

overall and is the smallest part of the income mix overall, reaching only 2% (£2M) of the total income stream in 2022/23.

Within the Research stream, notable changes are the **decrease in Quality Related Funding (QR) and the significant variation of PaGE Research and Research-related income which is down 4% overall**. QR funding cuts were an outcome of the latest REF submission and Research England funding algorithm which LSE is seeking to influence in advance of the next assessment. PaGE Research and Research-related income has grown in 2022/23 due to a change in reporting of research activity related to Other grants and donations, and an **increased appetite for research activity from corporate, public body and foundation partners**.

**Update June 2024:** We have provided the HESA-Research table here for comparison to overall income levels.

Income Stream: Category	FY 20/21	FY 21/22	FY 22/23
Research: R&I Research Income	£24,609,604	£27,283,052 (11%)	£30,130,520 (10%)
Research: PaGE Research and Research-related Income	£1,804,961	£2,356,919 (31%)	£4,449,158 (89%)
Research: Collaborative research	£3,327,684	£3,491,231 (5%)	£3,781,507 (8%)
Impact: Contract research	£2,784,909	£1,525,978 (-45%)	£1,007,661 (-34%)
Impact: PaGE Corporate Engagement Income	£628,873	£826,615 (31%)	£266,813 (-68%)
Innovation: Research Innovation Grants	£60,088	£75,243 (25%)	£83,360 (11%)
<b>Total Research Grants Income</b>	<b>£33,226,119</b>	<b>£35,559,038 (7%)</b>	<b>£39,719,019 (12%)</b>

Table 2. HESA-Research Income received over the last 3 years by category.

**Research grants income, as reported to HESA** and stated in the Annual Accounts, is split across Research, Impact and Innovation income streams. The **highest area of growth for HESA-Research is in the PaGE Research and Research related space (146%)** and this accounts for 11% of total HESA-Research funding in 2022/23. **R&I research income has seen a steady increase (22%) and is the highest proportion of HESA-Research activity at 76%**. There has been a decrease in both Contract Research (64%) and Corporate Engagement (58%) and these account for only 4% of LSE HESA-Research overall. Some of this decrease is driven by classification of income and accounting changes.

**Impact funding accounts for 34% of the total R, I and I income at LSE in 2022/23**. It has increased steadily through the new **LSE Consulting Academic Speakers Bureau (100%), Training (921%) and Executive Education (81%)** coupled with overall **27% growth in hypothecated funding from Research England** (e.g. for Policy and participatory research activity). Training has grown due to changes in categorisation of custom programmes and CPD. In 2022/23, the **highest categories by income received were Executive Education (29%), International Growth Centre FCDO Award (27%) and HEIF and Hypothecated funding (17%)**. New entries in the impact area are LSE Press, LSE Generate and the hire of the Behavioural Lab (Facilities Income).

Innovation is the smallest strand of income with the **most notable income going towards Innovation Capacity Investment (83%)** such as the ESRC Impact Acceleration Account (IAA). The most growth has been within Innovation Revenue Sharing (from FTSE TPI revenue), whilst **other areas have reduced such as Research Innovation Grants (-24%) and Innovation Capacity Investment (-13%) or remained non-income generating**.

### R&I Research Applications

This data shows the number of research applications recorded on the grant management system and as such **does not include fundraising activity led by the philanthropy teams**. This also does not include hypothecated or QR income.

**Update June 2024:** Due to the differences in which funding and funding data is managed in PAGE, at the moment it is not possible to provide information on PAGE research application and awards which could be meaningfully compared against that of R&I. However, granular information on prospects and proposals vs income received will be prepared for Research Committee AT 2024.

### Research Funding Applications: 5 year Overview

Funding Source	FY 18/19	FY 19/20	FY 20/21	FY 21/22	FY 22/23	FY 23/24
UK	209	300 (44%)	226 (-25%)	218 (-4%)	199 (-9%)	154 (-23%)
EU	51	51 (0%)	43 (-16%)	59 (37%)	32 (-46%)	21 (-34%)
Non-EU	24	32 (33%)	31 (-3%)	22 (-29%)	26 (18%)	11 (-58%)
<b>Total</b>	<b>284</b>	<b>383 (35%)</b>	<b>300 (-22%)</b>	<b>299 (0%)</b>	<b>257 (-14%)</b>	<b>186 (-28%)</b>

Table 3. Applications over the last 5 years by volume of submitted applications, by region of funder.

Funding Source	FY 18/19	FY 19/20	FY 20/21	FY 21/22	FY 22/23	FY 23/24
UK	£65M	£82M (27%)	£69M (-16%)	£76M (10%)	£94M (23%)	£56M (-41%)
EU	£43M	£31M (-27%)	£39M (26%)	£38M (-3%)	£18M (-52%)	£14M (-23%)
Non-EU	£4M	£3M (-21%)	£3M (-21%)	£11M (293%)	£6M (-45%)	£2M (-67%)
<b>Total</b>	<b>£112M</b>	<b>£117M (5%)</b>	<b>£111M (-5%)</b>	<b>£125M (12%)</b>	<b>£118M (-5%)</b>	<b>£72M (-39%)</b>

Table 4. Applications over the last 5 years by total value of submitted applications, by region of funder.

**Grant application numbers have been trending downward in the past 5 years with 2022/23 showing 10% less applications than in 2018/19. However, total application values have increased by 6% over the same period.** The total number of applications made in 2022/23 is at its lowest for this period. Some reasoning for this is stated in the Research Committee GRAM report of the first meeting this year. **UK applications saw a peak in value submitted in 2022/23** whilst the volume remained consistent demonstrating the appetite LSE has had in submitting large funding applications to Centres and large calls. **UK applications by value have increase by 45% overall** whilst EU has decreased. **Notably, EU applications performed more poorly in 2022/23 than in previous years**, a trend noted across the UK sector, and for which a “[bounce-back](#)” is expected in 2023/24 following association. Non-EU applications by value and number have increased but remain a smaller proportion (3% and 6% respectively) of overall research applications.

### Research Funding Applications: Department Profile

**Update June 2024:** We have provided further granularity on the breakdown of awards by academic Unit for analysis of strengths and weaknesses across units and disciplines.

Academic Unit	FY 18/19	FY 19/20	FY 20/21	FY 21/22	FY 22/23	FY 23/24
Care Policy and Evaluation Centre	35	51 (31%)	33 (-55%)	31 (-6%)	20 (-55%)	0 (-100%)
LSE Health	18	28 (36%)	21 (-33%)	28 (25%)	17 (-65%)	22 (23%)
Grantham Research Institute	19	18 (-6%)	19 (5%)	19 (0%)	22 (14%)	9 (-144%)
Government	14	16 (13%)	17 (6%)	11 (-55%)	11 (0%)	23 (52%)
Centre for Economic Performance	11	24 (54%)	16 (-50%)	9 (-78%)	5 (-80%)	12 (58%)
Media and Communications	14	16 (13%)	20 (20%)	14 (-43%)	9 (-56%)	3 (-200%)
Firoz Lalji Institute for Africa	15	19 (21%)	6 (-217%)	15 (60%)	8 (-88%)	9 (11%)
Methodology	10	11 (9%)	13 (15%)	15 (13%)	8 (-88%)	4 (-100%)
STICERD	5	8 (38%)	5 (-60%)	10 (50%)	19 (47%)	13 (-46%)
Psychological and Behavioural Science	10	14 (29%)	10 (-40%)	12 (17%)	6 (-100%)	5 (-20%)
Geography & Environment	11	15 (27%)	12 (-25%)	8 (-50%)	7 (-14%)	1 (-600%)
Centre for Analysis of Social Exclusion	5	15 (67%)	7 (-114%)	1 (-600%)	10 (90%)	11 (9%)
LSE IDEAS	6	4 (-50%)	12 (67%)	10 (-20%)	6 (-67%)	10 (40%)
International Relations	6	10 (40%)	5 (-100%)	11 (55%)	7 (-57%)	7 (0%)
Sociology	5	12 (58%)	9 (-33%)	5 (-80%)	8 (38%)	5 (-60%)
Latin America and Caribbean Centre	8	14 (43%)	14 (0%)	8 (-75%)	0 (-100%)	0 (0%)
European Institute	9	9 (0%)	3 (-200%)	7 (57%)	6 (-17%)	7 (14%)
LSE Cities	2	8 (75%)	6 (-33%)	6 (0%)	16 (63%)	2 (-700%)
International Inequalities Institute	7	8 (13%)	7 (-14%)	7 (0%)	8 (13%)	3 (-167%)
International Development	13	10 (-30%)	8 (-25%)	2 (-300%)	4 (50%)	2 (-100%)
Social Policy	6	4 (-50%)	8 (50%)	7 (-14%)	9 (22%)	2 (-350%)
Anthropology	5	8 (38%)	7 (-14%)	10 (30%)	4 (-150%)	1 (-300%)
Economic History	3	2 (-50%)	0 (-100%)	11 (100%)	5 (-120%)	9 (44%)

Law School	2	7 (71%)	0 (-100%)	3 (100%)	6 (50%)	9 (33%)
International History	6	6 (0%)	4 (-50%)	4 (0%)	2 (-100%)	4 (50%)
CPNSS	4	4 (0%)	9 (56%)	3 (-200%)	5 (40%)	1 (-400%)
Middle East Centre	1	5 (80%)	4 (-25%)	6 (33%)	6 (0%)	2 (-200%)
Management	3	3 (0%)	5 (40%)	3 (-67%)	5 (40%)	5 (0%)
Statistics	6	3 (-100%)	7 (57%)	2 (-250%)	4 (50%)	1 (-300%)
Gender Studies	3	6 (50%)	2 (-200%)	8 (75%)	1 (-700%)	0 (-100%)
Financial Markets Group	4	2 (-100%)	5 (60%)	3 (-67%)	3 (0%)	1 (-200%)
Mathematics	3	7 (57%)	1 (-600%)	2 (50%)	4 (50%)	1 (-300%)
Economics	5	6 (17%)	1 (-500%)	3 (67%)	2 (-50%)	0 (0%)
Centre for Macroeconomics	3	2 (-50%)	2 (0%)	4 (50%)	5 (20%)	1 (-400%)
Centre for Women, Peace and Security	2	5 (60%)	3 (-67%)	4 (25%)	2 (-100%)	0 (-100%)
Accounting	1	4 (75%)	3 (-33%)	1 (-200%)	1 (0%)	3 (67%)
International Growth Centre	0	3 (100%)	5 (40%)	1 (-400%)	1 (0%)	0 (-100%)
Philosophy, Logic & Scientific Method	2	4 (50%)	2 (-100%)	0 (-100%)	0 (0%)	0 (0%)
Saw Swee Hock Southeast Asia Centre	2	1 (-100%)	2 (50%)	0 (0%)	1 (100%)	0 (0%)
Data Science Institute	0	0 (0%)	1 (100%)	4 (75%)	1 (-300%)	0 (-100%)
School of Public Policy	1	0 (-100%)	1 (100%)	1 (0%)	1 (0%)	1 (0%)
Marshall Institute	3	0 (-100%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)
Health Policy	0	2 (100%)	0 (-100%)	0 (0%)	1 (100%)	0 (-100%)
South Asia Centre	0	0 (0%)	0 (0%)	0 (0%)	2 (100%)	0 (-100%)
United States Centre	0	1 (100%)	0 (-100%)	0 (0%)	0 (0%)	0 (0%)
What Works Centre	1	0 (-100%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)
Centre for Analysis of Time Series	0	1 (100%)	0 (-100%)	0 (0%)	0 (0%)	0 (0%)

Table 5. Applications over the last 5 years by number of submitted applications, by Academic Unit

Across Academic Units, **CPEC has submitted the largest number of applications** over the reporting period with a high in 2019/20, followed by LSE Health. These represent the successes of the **two largest health related units at LSE**, getting funding primarily from the Medical Research Council and National Institute of Health Research. The top 10 spots are represented fairly evenly by LSE Departments (4) and Research Centres (5), and one Institute. **Units showing the highest growth in submission of application comparing 2018/18 to 2022/23 are STICERD, LSE Cities, LSE Law School and the Middle East Centre.** Other than closed units the largest decrease in applications submitted in the same period are Gender Studies, International History and International Development.

Academic Unit	FY 18/19	FY 19/20	FY 20/21	FY 21/22	FY 22/23	FY 23/24
LSE Health	£2M	£10M (76%)	£4M (-150%)	£16M (75%)	£7M (-146%)	£13M (50%)
Centre for Economic Performance	£4M	£20M (78%)	£11M (-86%)	£5M (-113%)	£7M (31%)	£1M (-463%)
Grantham Research Institute	£10M	£5M (-120%)	£4M (-32%)	£8M (54%)	£16M (52%)	£3M (-401%)
Government	£12M	£5M (-149%)	£4M (-31%)	£3M (-32%)	£9M (71%)	£8M (-24%)
STICERD	£4M	£6M (35%)	£4M (-49%)	£4M (7%)	£16M (74%)	£649k (-3k%)
LSE IDEAS	£821k	£1M (33%)	£4M (72%)	£23M (82%)	£2M (-1k%)	£3M (40%)
Care Policy & Evaluation Centre	£6M	£6M (-1%)	£7M (14%)	£7M (-2%)	£7M (-8%)	£0k (-100%)
Centre for Macroeconomics	£5M	£3M (-56%)	£3M (-29%)	£6M (52%)	£3M (-85%)	£8M (61%)
Firoz Lalji Institute for Africa	£4M	£6M (42%)	£2M (-265%)	£8M (79%)	£4M (-126%)	£4M (-1%)
CPNSS	£2M	£3M (46%)	£11M (70%)	£2M (-367%)	£7M (66%)	£385k (-2k%)
International Inequalities Institute	£1M	£3M (65%)	£9M (62%)	£5M (-99%)	£3M (-74%)	£68k (-4k%)
Media and Communications	£3M	£5M (25%)	£6M (23%)	£4M (-61%)	£1M (-156%)	£740k (-96%)
Methodology	£6M	£3M (-83%)	£3M (-11%)	£4M (29%)	£2M (-106%)	£2M (-4%)
Psychological and Behavioural Science	£2M	£3M (49%)	£2M (-32%)	£5M (56%)	£2M (-153%)	£4M (54%)
International Development	£10M	£4M (-157%)	£3M (-49%)	£212k (-1k%)	£228k (7%)	£84k (-171%)
Economics	£8M	£3M (-134%)	£133k (-2k%)	£2M (94%)	£2M (-3%)	£0k (-100%)
Geography & Environment	£2M	£2M (25%)	£1M (-79%)	£3M (51%)	£5M (49%)	£818k (-567%)
Sociology	£784k	£4M (79%)	£6M (36%)	£692k (-739%)	£555k (-25%)	£3M (82%)
Economic History	£121k	£362k (67%)	£0k (-100%)	£5M (100%)	£3M (-62%)	£6M (55%)
Financial Markets Group	£3M	£2M (-77%)	£5M (65%)	£3M (-88%)	£2M (-24%)	£100k (-2k%)
European Institute	£3M	£2M (-94%)	£2M (13%)	£1M (-49%)	£760k (-69%)	£2M (68%)
LSE Cities	£599k	£869k (31%)	£1M (31%)	£511k (-148%)	£6M (91%)	£597k (-879%)
International Growth Centre	£0k	£1M (100%)	£1M (0%)	£4M (66%)	£2M (-95%)	£0k (-100%)
Centre for Analysis of Social Exclusion	£667k	£2M (57%)	£525k (-194%)	£70k (-650%)	£1M (94%)	£4M (76%)
Law School	£2M	£599k (-230%)	£0k (-100%)	£2M (100%)	£2M (5%)	£1M (-60%)



Anthropology	£768k	£1M (48%)	£1M (-6%)	£2M (26%)	£2M (8%)	£387k (-431%)
International Relations	£2M	£545k (-246%)	£3M (82%)	£1M (-145%)	£554k (-126%)	£413k (-34%)
Data Science Institute	£0k	£0k (0%)	£6M (100%)	£1M (-455%)	£106k (-983%)	£0k (-100%)
Mathematics	£101k	£2M (95%)	£80k (-2k%)	£1M (94%)	£3M (54%)	£1M (-130%)
Centre for Women, Peace and Security	£1M	£308k (-365%)	£2M (82%)	£3M (47%)	£411k (-695%)	£0k (-100%)
Statistics	£2M	£867k (-139%)	£2M (47%)	£506k (-221%)	£1M (54%)	£477k (-131%)
International History	£3M	£2M (-66%)	£464k (-261%)	£825k (44%)	£122k (-573%)	£573k (79%)
Social Policy	£1M	£1M (6%)	£524k (-143%)	£1M (51%)	£1M (0%)	£595k (-79%)
Management	£2M	£884k (-71%)	£1M (17%)	£605k (-75%)	£424k (-43%)	£935k (55%)
What Works Centre	£4M	£0k (-100%)	£0k (0%)	£0k (0%)	£0k (0%)	£0k (0%)
Middle East Centre	£168k	£1M (88%)	£412k (-235%)	£390k (-6%)	£383k (-2%)	£395k (3%)
Gender Studies	£110k	£891k (88%)	£341k (-161%)	£797k (57%)	£0k (-100%)	£0k (0%)
Health Policy	£0k	£1M (100%)	£0k (-100%)	£0k (-100%)	£792k (100%)	£0k (-100%)
Accounting	£70k	£725k (90%)	£329k (-120%)	£110k (-199%)	£10k (-1k%)	£824k (99%)
Marshall Institute	£2M	£0k (-100%)	£0k (-100%)	£0k (-100%)	£0k (0%)	£0k (0%)
Southeast Asia Centre	£356k	£0k (-100%)	£125k (100%)	£0k (-100%)	£378k (100%)	£0k (-100%)
School of Public Policy	£56k	£0k (-100%)	£163k (100%)	£93k (-75%)	£238k (61%)	£10k (-2k%)
Philosophy	£10k	£220k (95%)	£190k (-16%)	£0k (-100%)	£0k (0%)	£0k (0%)

Table 6. Applications over the last 5 years by total value of submitted applications, by Academic Unit

Applications by value demonstrate some changes, **with the Centre for Economic Performance and STICERD being higher** due to some large notable awards. LSE IDEAS value is higher due to its large FCDO contract awarded in 2021/22. The **highest areas of growth from 2018/19 to 2022/23 are Economic History, Mathematics and LSE Cities**. Whereas, International Development, International History and Gender Studies have seen large decreases.

#### Research Funding Applications: Principal Investigator Profile

**Update June 2024:** We have provided further tables on the breakdown of applications by PI career stage. See Annex C for information about the allocation of career stages.

Career Stage	FY 18/19	FY 19/20	FY 20/21	FY 21/22	FY 22/23	FY 23/24
Early Career	104	140 (26%)	129 (-9%)	135 (4%)	107 (-26%)	83 (-29%)
Mid-Career	59	98 (40%)	79 (-24%)	64 (-23%)	56 (-14%)	47 (-19%)
Senior Career	97	114 (15%)	67 (-70%)	76 (12%)	69 (-10%)	36 (-92%)
Unknown	32	44 (27%)	41 (-7%)	36 (-14%)	37 (3%)	26 (-42%)

Table 7. Applications over the last 5 years by volume of submitted applications, by PI profile

Career Stage	FY 18/19	FY 19/20	FY 20/21	FY 21/22	FY 22/23	FY 23/24
Early Career	£23M	£31M (25%)	£29M (-8%)	£45M (36%)	£29M (-57%)	£28M (-2%)
Mid-Career	£15M	£22M (32%)	£34M (36%)	£23M (-49%)	£27M (16%)	£19M (-44%)
Senior Career	£60M	£54M (-11%)	£40M (-35%)	£43M (6%)	£48M (11%)	£17M (-188%)
Unknown	£13M	£10M (-38%)	£8M (-20%)	£14M (43%)	£14M (0%)	£8M (-74%)

Table 8. Applications over the last 5 years by total value of submitted applications, by PI profile

The profile of applications across career stages is consistent with expectations. **Early Career researchers typically submit more applications with a smaller total value than more senior counterparts**. Whilst Senior Career Research also submit a large number of applications and always account for the highest value of applications submitted, Mid-career researchers are often lowest in both volume and value of submissions. This is similar to sector-wide trends in grant and fellowship awards data and follows the availability and scale of funding schemes aimed at different career stages. In terms of change, **Senior Career Researchers have decreased in both value and volume of applications (21% and 29% respectively)** between 2018/19 and 2022/23. Whilst Mid-Career research have submitted less application by number the overall value has increased by 81% over the same period.

#### Research Awards

Award data separates awards originating from philanthropy teams and those supported in LSE R&I.

#### R&I Research Funding Awards: 5 Year Overview

Funding Source	FY 18/19	FY 19/20	FY 20/21	FY 21/22	FY 22/23	FY 23/24
UK	129	93 (-28%)	128 (38%)	94 (-27%)	91 (-3%)	79 (-13%)
EU	19	22 (16%)	23 (5%)	17 (-26%)	25 (47%)	7 (-72%)
Non-EU	22	26 (18%)	27 (4%)	16 (-41%)	14 (-13%)	8 (-43%)
<b>Total</b>	<b>170</b>	<b>141 (-17%)</b>	<b>178 (26%)</b>	<b>127 (-29%)</b>	<b>130 (2%)</b>	<b>94 (-28%)</b>

Table 9. Awards over the last 5 years by volume of awarded projects, by region of funder

Funding Source	FY 18/19	FY 19/20	FY 20/21	FY 21/22	FY 22/23	FY 23/24
UK	£44M	£42M (-4%)	£18M (-57%)	£17M (-8%)	£15M (-13%)	£10M (-30%)
EU	£10M	£6M (-43%)	£6M (1%)	£10M (67%)	£7M (-27%)	£1M (-89%)
Non-EU	£2M	£3M (44%)	£2M (-23%)	£3M (52%)	£4M (24%)	£367k (-90%)
<b>Total</b>	<b>£56M</b>	<b>£51M (-10%)</b>	<b>£26M (-48%)</b>	<b>£30M (13%)</b>	<b>£26M (-14%)</b>	<b>£11M (-56%)</b>

Table 10. Awards over the last 5 years by total value of awarded projects, by region of funder

**Grant awards in terms of numbers have been trending downwards in the past 5 years with a 24% decrease between 2018/19 and 2022/23.** 2020/21 saw the highest number of applications for the period, however, the highest value was submitted in 2019/20. UK awards have decreased in both value and volume over the period, whilst **EU awards have grown in the same period by volume (37%) however have decreased in value (30%)**. EU awards have a share of 28% of awards made in 2022/23, although this is trending downwards for 2023/24. Non-EU awards have increased in value by 108% whilst the volume has decreased slightly. These funders range from overseas foundations to government development departments.

### PaGE Research Awards

Funding Source	FY 18/19	FY 19/20	FY 20/21	FY 21/22	FY 22/23	FY 23/24
UK	UK	4	9 (125%)	3 (-67%)	7 (133%)	5 (-29%)
EU	EU	1	6 (500%)	1 (-83%)	5 (400%)	3 (-40%)
Non-EU	Non-EU	14	7 (-50%)	14 (100%)	4 (-71%)	8 (100%)
<b>Total</b>	<b>Total</b>	<b>19</b>	<b>22 (16%)</b>	<b>18 (-18%)</b>	<b>16 (-11%)</b>	<b>16 (0%)</b>

Table 11. Awards over the last 5 years by volume of awarded projects, by region of funder

Funding Source	FY 18/19	FY 19/20	FY 20/21	FY 21/22	FY 22/23	FY 23/24
UK	£1M	£2M (54%)	£1M (-59%)	£2M (119%)	£2M (11%)	£20k (-99%)
EU	£40k	£1M (2057%)	£277k (-68%)	£1M (107%)	£374k (-35%)	£1M (98%)
Non-EU	£7M	£1M (-88%)	£4M (379%)	£2M (-50%)	£9M (334%)	£1M (-88%)
<b>Total</b>	<b>£8M</b>	<b>£3M (-58%)</b>	<b>£5M (48%)</b>	<b>£4M (-18%)</b>	<b>£11M (164%)</b>	<b>£2M (-83%)</b>

Table 12. Awards over the last 5 years by total value of awarded projects, by region of funder

PaGE-led Research awards volume have been in a downwards trend over the past 5 years however awards values have increased around 36% between 2018/19 and 2022/23. These are variable with values heavily fluctuating year on year. The **majority of awards by value are also from non-EU sources** (81%), which is consistently over £1M per annum, with a peak of almost £10M in 2022/23. The largest award, contributing to the large value in 2022/23 is for the Transition Pathways Initiative in Grantham.

### Research Funding Awards: Department Profile

**Update June 2024:** We have provided further granularity on the breakdown of awards by academic Unit for analysis of strengths and weaknesses across units and disciplines. **These tables include both PaGE and R&I led grants.**

Academic Unit	FY 18/19	FY 19/20	FY 20/21	FY 21/22	FY 22/23	FY 23/24
Care Policy and Evaluation Centre	31	19 (-63%)	24 (21%)	12 (-100%)	13 (8%)	15 (13%)
STICERD	23	15 (-53%)	13 (-15%)	11 (-18%)	13 (15%)	9 (-44%)
Grantham Research Institute	11	15 (27%)	13 (-15%)	15 (13%)	17 (12%)	9 (-89%)
LSE Health	11	11 (0%)	7 (-57%)	9 (22%)	10 (10%)	5 (-100%)
Centre for Economic Performance	7	12 (42%)	12 (0%)	9 (-33%)	5 (-80%)	2 (-150%)
Middle East Centre	13	9 (-44%)	15 (40%)	3 (-400%)	1 (-200%)	2 (-100%)
Geography & Environment	7	7 (0%)	10 (30%)	6 (-67%)	3 (-100%)	1 (-200%)
Methodology	8	4 (-100%)	6 (33%)	5 (-20%)	7 (29%)	3 (-133%)
Media and Communications	6	7 (14%)	4 (-75%)	5 (20%)	6 (17%)	4 (-50%)
Latin America and Caribbean Centre	8	16 (50%)	6 (-167%)	2 (-200%)	0 (-100%)	0 (0%)
Government	5	6 (17%)	4 (-50%)	3 (-33%)	4 (25%)	7 (43%)
Firoz Lalji Institute for Africa	5	3 (-67%)	5 (40%)	5 (0%)	5 (0%)	3 (-67%)
Sociology	2	6 (67%)	5 (-20%)	3 (-67%)	2 (-50%)	6 (67%)
International Development	6	4 (-50%)	6 (33%)	1 (-500%)	3 (67%)	3 (0%)
International Inequalities Institute	4	1 (-300%)	5 (80%)	3 (-67%)	7 (57%)	1 (-100%)

Centre for Analysis of Social Exclusion	3	2	(-50%)	3	(33%)	3	(0%)	4	(25%)	5	(20%)
LSE IDEAS	0	2	(100%)	6	(67%)	6	(0%)	4	(-50%)	2	(-100%)
Management	5	3	(-67%)	7	(-100%)	1	(-600%)	4	(75%)	0	(-100%)
LSE Cities	2	4	(50%)	2	(-100%)	2	(0%)	6	(67%)	2	(-200%)
Psychological and Behavioural Science	1	2	(50%)	4	(50%)	6	(33%)	2	(-200%)	2	(-100%)
Social Policy		2	(100%)	7	(71%)	3	(-133%)	4	(25%)	1	(-300%)
International Relations	2	1	(-100%)	5	(-100%)	3	(-67%)	3	(0%)	3	(0%)
Anthropology	2	2	(0%)	7	(71%)	1	(-600%)	3	(67%)	1	(-200%)
Statistics	3	0	(-100%)	5	(100%)	2	(-150%)	4	(50%)	1	(-100%)
European Institute	3	2	(-50%)	2	(0%)	2	(0%)	2	(0%)	4	(50%)
Gender Studies	3	0	(-100%)	3	(100%)	5	(40%)	2	(-150%)		(-100%)
International History	3	4	(25%)	0	(-100%)	1	(100%)	2	(50%)	1	(-100%)
Financial Markets Group	0	2	(100%)	1	(-100%)	4	(75%)	1	(-300%)	1	(0%)
Centre for Macroeconomics	0	2	(100%)	1	(-100%)	2	(50%)	2	(0%)	2	(0%)
CPNSS	1	3	(67%)	0	(-100%)	4	(100%)	0	(-100%)	0	(0%)
Centre for Women, Peace and Security	2	1	(-100%)	2	(50%)	2	(0%)	1	(-100%)		(-100%)
Mathematics	2	1	(-100%)	0	(-100%)	3	(100%)	1	(-200%)	1	(0%)
Economic History	1	0	(-100%)	0	(-100%)	2	(100%)	2	(0%)	2	(0%)
Economics	0	1	(100%)	4	(75%)	0	(-100%)	1	(100%)	0	(0%)
Law School	0	0	(0%)	1	(100%)	0	(-100%)	0	(0%)	4	(100%)
School of Public Policy	0	0	(0%)	2	(100%)	0	(-100%)	2	(100%)	1	(-100%)
Accounting	1	0	(-100%)	2	(100%)	0	(-100%)	1	(100%)	0	(-100%)
United States Centre	1	1	(0%)	0	(-100%)	0	(0%)	0	(0%)	0	(0%)
International Growth Centre	0	1	(100%)	1	(0%)	0	(-100%)	0	(0%)	0	(0%)
Data Science Institute	0	0	(0%)	0	(0%)	2	(100%)	0	(-100%)	0	(0%)
What Works Centre	0	1	(100%)	0	(-100%)	0	(0%)	0	(0%)	1	(100%)
South Asia Centre	0	0	(0%)	0	(0%)	0	(0%)	2	(100%)	0	(-100%)
Marshall Institute	2	0	(-100%)	0	(0%)	0	(0%)	0	(0%)	0	(0%)
Southeast Asia Centre	1	0	(-100%)	0	(0%)	0	(0%)	0	(0%)	0	(0%)
Philosophy	0	0	(0%)	1	(100%)	0	(-100%)	0	(0%)	0	(0%)

Table 13. Awards over the last 5 years by number of successful applications, by Academic Unit

The largest share of awards for 2022/23 was in the Grantham Research Institute, which also demonstrated growth between 2018/29 and 2022/23 alongside International Inequalities Institute, LSE Cities and Psychological and Behavioural Science.

Academic Unit	FY 18/19	FY 19/20	FY 20/21	FY 21/22	FY 22/23	FY 23/24
Care Policy and Evaluation Centre	£33M	£3M (-1k%)	£3M (3%)	£1M (-111%)	£813k (-78%)	£2M (65%)
Grantham Research Institute	£5M	£3M (-62%)	£4M (29%)	£2M (-68%)	£13M (82%)	£2M (-489%)
Centre for Economic Performance	£2M	£14M (82%)	£4M (-244%)	£1M (-238%)	£366k (-221%)	£34k (-988%)
Centre for Women, Peace and Security	£2M	£13M (88%)	£49k (-26k%)	£263k (81%)	£36k (-639%)	(-100%)
LSE Health	£6M	£2M (-285%)	£466k (-231%)	£932k (50%)	£4M (79%)	£120k (-4k%)
STICERD	£2M	£1M (-21%)	£3M (56%)	£3M (-1%)	£1M (-166%)	£344k (-100%)
LSE IDEAS	£0	£24k (100%)	£818k (97%)	£9M (90%)	£719k (-1k%)	£465k (-55%)
Centre for Macroeconomics	£0	£1M (100%)	£5k (-26k%)	£2M (100%)	£2M (2%)	£891k (-120%)
LSE Cities	£2M	£1M (-87%)	£446k (-127%)	£38k (-1k%)	£2M (98%)	£129k (-2k%)
Firoz Lalji Institute for Africa	£569k	£676k (16%)	£1M (49%)	£2M (45%)	£373k (-547%)	£409k (9%)
What Works Centre	£0	£4M (100%)	£0 (-100%)	£0 (0%)	£0 (0%)	£2M (100%)
Financial Markets Group	£0	£933k (100%)	£213k (-338%)	£4M (95%)	£179k (-2k%)	£107k (-67%)
Management	£3M	£78k (-3k%)	£481k (84%)	£1M (52%)	£310k (-226%)	£0 (-100%)
Geography & Environment	£789k	£501k (-57%)	£2M (78%)	£453k (-408%)	£285k (-59%)	£117k (-143%)
Methodology	£1M	£567k (-113%)	£735k (23%)	£205k (-259%)	£1M (84%)	£147k (-100%)
Media and Communications	£249k	£934k (73%)	£149k (-527%)	£562k (74%)	£1M (59%)	£887k (-53%)
International Inequalities Institute	£1M	£50k (-2k%)	£921k (95%)	£240k (-283%)	£2M (85%)	£73k (-2k%)
Middle East Centre	£1M	£1M (20%)	£1000k (-100%)	£367k (-172%)	£4k (-8k%)	£136k (97%)
Government	£985k	£484k (-103%)	£576k (16%)	£329k (-75%)	£1M (73%)	£68k (-2k%)
Social Policy	£0	£2M (100%)	£597k (-232%)	£563k (-6%)	£365k (-54%)	£114k (-100%)
CPNSS	£30k	£2M (98%)	£0 (-100%)	£2M (100%)	£0 (-100%)	£0 (0%)
Psychological and Behavioural Science	£17k	£187k (91%)	£521k (-100%)	£2M (79%)	£115k (-2k%)	£39k (-198%)
European Institute	£2M	£109k (-2k%)	£169k (36%)	£173k (2%)	£79k (-120%)	£206k (62%)
Latin America and Caribbean Centre	£401k	£2M (76%)	£753k (-125%)	£20k (-4k%)	£0 (-100%)	£0 (0%)
Anthropology	£145k	£537k (73%)	£838k (36%)	£331k (-153%)	£477k (31%)	£119k (-300%)
Statistics	£26k	£0 (-100%)	£1M (100%)	£566k (-94%)	£631k (10%)	£15k (-100%)

Mathematics	£161k	£564k (71%)	£0 (-100%)	£293k (100%)	£423k (31%)	£77k (-447%)
Sociology	£88k	£189k (53%)	£511k (63%)	£314k (-63%)	£13k (-2k%)	£401k (97%)
International Relations	£189k	£40k (-370%)	£286k (86%)	£127k (-126%)	£693k (82%)	£112k (-520%)
International Development	£574k	£239k (-140%)	£88k (-171%)	£29k (-202%)	£194k (85%)	£243k (20%)
Centre for Analysis of Social Exclusion	£101k	£308k (67%)	£92k (-235%)	£106k (13%)	£221k (52%)	£486k (55%)
School of Public Policy	£0	£0 (0%)	£537k (100%)	£0 (-100%)	£326k (100%)	£253k (-28%)
International Growth Centre	£0	£44k (100%)	£988k (96%)	£0 (-100%)	£0 (0%)	£0 (0%)
Data Science Institute	£0	£0 (0%)	£0 (0%)	£903k (100%)	£0 (-100%)	£0 (0%)
International History	£107k	£383k (72%)	£0 (-100%)	£350k (100%)	£60k (-481%)	£0 (-100%)
Gender Studies	£197k	£0 (-100%)	£124k (100%)	£157k (21%)	£204k (23%)	£0 (-100%)
Law School	£0	£0 (0%)	£33k (100%)	£0 (-100%)	£0 (0%)	£601k (100%)
Accounting	£104k	£0 (-100%)	£282k (100%)	£0 (-100%)	£111k (100%)	£0 (-100%)
United States Centre	£355k	£79k (-348%)	£0 (-100%)	£0 (0%)	£0 (0%)	£0 (0%)
Economic History	£15k	£0 (-100%)	£0 (-100%)	£65k (100%)	£87k (25%)	£245k (65%)
Economics	£0	£30k (100%)	£216k (86%)	£0 (-100%)	£8k (100%)	£0 (-100%)
Philosophy	£0	£0 (0%)	£0 (0%)	£0 (0%)	£0 (0%)	£0 (0%)
Southeast Asia Centre	£50k	£0 (-100%)	£100k (100%)	£0 (-100%)	£0 (0%)	£0 (0%)
South Asia Centre	£0	£0 (0%)	£0 (0%)	£0 (0%)	£0 (0%)	£0 (0%)
Marshall Institute	£19k	£0 (-100%)	£0 (0%)	£0 (0%)	£46k (100%)	£0 (-100%)

Table 14. Awards over the last 5 years by total value of successful applications, by Academic Unit

A notable difference between applications and awards numbers and values is the Departments in the top 10. The **prolific departments at application stage are not present in the top of the award values table**. In fact the top 10 by value consists of nine (9) Research Centres and one (1) Institute. Health, Economics and Sustainability are all well represented in the top Centres for awards by value. Notable increases in value of awards between 2018/19 and 2022/23 include Grantham Research Institute, Statistics and Economic History. There have been decreases in the same period for International Development, European Institute, CPNSS and CPEC.

### Research Funding Awards: Principal Investigator Profile

**Update June 2024:** We have provided further tables on the breakdown of awards by PI career stage. **These tables include both PaGE and R&I led grants.**

Career Stage	FY 18/19	FY 19/20	FY 20/21	FY 21/22	FY 22/23	FY 23/24
Early Career	31	54 (43%)	38 (-42%)	64 (41%)	41 (-56%)	18 (-128%)
Mid-Career	19	37 (49%)	33 (-12%)	20 (-65%)	23 (13%)	13 (-77%)
Senior Career	38	41 (7%)	30 (-37%)	38 (21%)	27 (-41%)	11 (-145%)
Unknown	4	9 (56%)	9 (0%)	14 (36%)	11 (-27%)	6 (-83%)

Table 15. Awards over the last 5 years by volume of successful applications, by PI profile

Career Stage	FY 18/19	FY 19/20	FY 20/21	FY 21/22	FY 22/23	FY 23/24
Early Career	£4M	£7M (38%)	£5M (-39%)	£13M (60%)	£6M (-107%)	£1M (-414%)
Mid-Career	£5M	£5M (-11%)	£5M (10%)	£4M (-42%)	£8M (53%)	£1M (-460%)
Senior Career	£15M	£22M (32%)	£6M (-261%)	£16M (63%)	£8M (-101%)	£297k (-3k%)
Unknown	£1M	£2M (64%)	£3M (27%)	£2M (-39%)	£1M (-72%)	£757k (-54%)

Table 16. Awards over the last 5 years by total value of submitted applications, by PI profile

The **profile of awards made across career stages varies year on year**. In 2019/20 the value of awards is significantly skewed towards Senior Career researchers. In 2022/23 there is a slightly higher distribution for award volume by number to ECRs compared to mid-career and senior career counterparts; senior career colleagues have a higher value of awards than their more junior colleagues. **In 2023/24 there have been more ECR awards made to date than to other career stages.**

### Research Success Rates

#### R&I Research Funding Applications: Success Rate & Income Ratio

**Update June 2024:** We have provided further granularity on the funding source for success rates to allow opportunity for review of potential gaps and growth areas of funding.

Funding Source	FY 18/19	FY 19/20	FY 20/21	FY 21/22	FY 22/23	FY 23/24
DIUS Research Councils	33%	28% (-14%)	26% (-10%)	36% (39%)	27% (-23%)	22% (-21%)
UK Based Charities (Competitive)	18%	22% (19%)	40% (81%)	35% (-11%)	34% (-3%)	17% (-50%)
UK Based Charities (Other)	43%	60% (40%)	25% (-58%)	60% (140%)	80% (33%)	100% (25%)
UK Central Government Bodies	38%	53% (39%)	47% (-11%)	64% (35%)	62% (-4%)	61% (-1%)

UK Industry and Public	-	-	(0%)	100%	(100%)	50%	-(50%)	33%	-(33%)	-	(100%)
EU Based Charities (Competitive)	0%	50%	(100%)	67%	(33%)	100%	(50%)	50%	-(50%)	0%	(100%)
EU Government Bodies	33%	32%	-(2%)	23%	-(29%)	44%	(94%)	33%	-(24%)	16%	-(53%)
EU Industry and Public	-	50%	(100%)	0%	(100%)	-	(0%)	-	(0%)	-	(0%)
EU Other	0%	100%	(100%)	50%	-(50%)	100%	(100%)	75%	-(25%)	0%	(100%)
Non EU Based Charities (Competitive)	40%	44%	(11%)	40%	-(10%)	80%	(100%)	50%	-(38%)	0%	(100%)
Non EU Industry and Public	33%	-	-	33%	100	0%	(100%)	-	(0%)	-	(0%)
Non EU Other	38%	70%	(81%)	64%	-(8%)	69%	(7%)	63%	-(9%)	40%	-(36%)
Other Sources	0%	0%	(0%)	100%	(100%)	-	(100%)	33%	(100%)	0%	(100%)
<b>Total</b>	<b>31%</b>	<b>34%</b>	<b>(10%)</b>	<b>35%</b>	<b>(1%)</b>	<b>44%</b>	<b>(27%)</b>	<b>38%</b>	<b>-(15%)</b>	<b>25%</b>	<b>-(33%)</b>

Table 17. Success rate of applications over the last 5 years by count of successful outcome of submitted applications, by Funding Source.

The success rate has a yearly average of around 35-45% by volume of applications; the **success rate was at its highest in 2021/22 (44%)** where applications submitted in all regions had an increase in success rate. The most notable change is the consistent increase in success rate with UK based charities between 2018/19 and 2022/23. However this may decrease in the coming months as more pending outcomes are updated in the system and applications closed out. Other increases include work with UK Government Bodies, and EU Based Charities. LSE success rate with research councils is strong and consistent.

Funding Source	FY 18/19	FY 19/20	FY 20/21	FY 21/22	FY 22/23	FY 23/24					
DIUS Research Councils	27%	39%	(47%)	11%	-(71%)	32%	(177%)	11%	-(65%)	4%	-(61%)
UK Based Charities (Competitive)	7%	13%	(86%)	40%	(214%)	13%	-(67%)	17%	(29%)	2%	-(88%)
UK Based Charities (Other)	6%	80%	(1k%)	25%	-(69%)	60%	(138%)	90%	(51%)	100%	(11%)
UK Central Government Bodies	20%	32%	(57%)	47%	(47%)	27%	-(42%)	45%	(65%)	21%	-(53%)
UK Industry and Public	-	-	(0%)	100%	(100%)	36%	-(64%)	14%	-(62%)	-	(100%)
EU Based Charities (Competitive)	0%	2%	(100%)	67%	(3005%)	100%	(50%)	56%	-(44%)	0%	(100%)
EU Government Bodies	21%	19%	-(8%)	23%	(19%)	29%	(29%)	37%	(29%)	2%	-(93%)
EU Industry and Public	-	76%	(100%)	0%	(100%)	-	(0%)	-	(0%)	-	(0%)
EU Other	0%	100%	(100%)	50%	-(50%)	100%	(100%)	9%	-(91%)	0%	(100%)
Non EU Based Charities (Competitive)	41%	23%	-(44%)	40%	(73%)	92%	(129%)	9%	-(90%)	0%	(100%)
Non EU Industry and Public	23%	-	-	33%	100	0%	(100%)	-	(0%)	-	(0%)
Non EU Other	50%	73%	(48%)	64%	-(13%)	29%	-(55%)	78%	(169%)	18%	-(77%)
Other Sources	0%	0%	(0%)	100%	(100%)	-	(100%)	16%	(100%)	0%	(100%)
<b>Total</b>	<b>23%</b>	<b>31%</b>	<b>(35%)</b>	<b>35%</b>	<b>(14%)</b>	<b>28%</b>	<b>-(20%)</b>	<b>20%</b>	<b>-(29%)</b>	<b>5%</b>	<b>-(74%)</b>

Table 18. Success rate of applications over the last 5 years by value of successful outcome of submitted applications, by funding source.

**The success rate when looked at by value tells a different story with an yearly average around 20-35%**, peaking in 2020/21 (35%). The general growth in success rates broadly mirror the rates by volume.

**Annex A: Key Information Table: Income Streams**

Income	Category Name	Category Description
Research	R&I Research Income	HESA-Research income generated by LSE R&I which has performance-related conditions (budget and workplan) and qualifies as research via the Frascati definition of being creative and systematic work undertaken in order to increase the stock of knowledge and to devise new applications of available knowledge. Not including Collaborative and Contract Research as defined below.
	PaGE Research and Research-related Income	HESA-Research income generated by PaGE which has performance-related conditions (budget and workplan) and qualifies as research via the Frascati definition being creative and systematic work undertaken in order to increase the stock of knowledge and to devise new applications of available knowledge. Note that data for "Other grant income" only currently exists from 22-23 onwards as it has not been separately categorised prior to that date (but only accounts for £58k within 22/23). This will be a future enhancement. N.B. Total pledged value of other grants and donations reported via other means will show significantly higher figures than actual income received, which is used here.
	Collaborative Research	A sub-category of HESA-Research income which must involve grant-in-aid from at least one public body and include material contribution (which may be cash or "in-kind" if specified in the collaborative agreement and auditable) from at least one external non-academic collaborator.
	Training Grants	Income for general research studentships, and all grants made by Research Councils and other bodies in support of the training of research students, including the tuition fee element. Primarily studentships and income managed through PHD Academy and associated academic units.
	Quality Related Funding	Income from Research England based on REF submission (QR) and capital allocations (RCIF), provided for investment in research infrastructure, longer term-sustainability, and doing research in keeping with LSE's priorities, as well as research culture funding.
Impact	HEIF and Hypothecated Funding	Research England block funding for knowledge exchange between higher education providers and the wider world that benefits society and the economy, as well as targeted funding to support impact in certain areas: Policy Support Fund, Participatory Research funding, Museums, Galleries and Collections fund.
	Consultancy	Application of existing know-how (such as analysis, measurements or testing, evidence or systematic reviews, corporate analysis, evaluation and impact studies, policy reviews and socio-economic analysis) to help clients address specific challenges, make informed decisions and improve processes without generating new knowledge.
	Academic Speakers Bureau	Speaking engagements made through LSE Consulting's Academic Speakers' Bureau which has an exclusive focus on speakers with distinguished academic careers, especially those from within LSE.
	LSE Consulting Exchanges	Research-orientated specialist services via the LSE Consulting Exchange. These hubs have arisen from an extensive focus on a specific topic, leading to a close collaboration with world-renowned academics in this field.
	Contract Research	A sub-category of HESA-Research income that meets the specific research needs of a client or sponsor (not including Research Council grants). The research still has a public benefit but may create a private benefit for the commissioning body.
	PaGE Corporate Engagement Income	Income originated by PaGE Corporate Engagement Team which includes both research and impact activities working with companies of all sizes from SMEs to multi-nationals, across all sectors to create mutually beneficial partnerships.
	International Growth Centre FCDO Award	Foreign Commonwealth and Development Office (FCDO) support to IGC for research and knowledge exchange with policymakers in developing countries to promote inclusive and sustainable growth through pathbreaking research.
	LSE Generate	Impact activity undertaken through LSE Generate such as the Schools' programme work, impact summits, peer-support programmes and OakNorth funding
	LSE Press	LSE Press book sales which support the promotion of high-quality social science research and enable wide public access through the use of open, digital publication methods.
	Publication Licensing Royalties	Publication royalties from across LSE academic units not under LSE Press.
	Training	Training and Continued Professional Development based on LSE research and impact run by LSE Consulting and LSE Generate, as well as Custom Programmes.
	Executive Education	Open enrolment executive education courses and online certificate courses based on LSE research and impact.

	Facilities Income	Income from hiring out the Behavioural Lab and drawing on Lab expertise.
Innovation	Spin out equity shares	Shares in staff spin-out companies based on LSE research and impact originating with support from LSE R&I.
	Spin out sales	Income from the sale of staff spin-out companies based on LSE research and impact originating with support from LSE R&I.
	Spin out dividends	Dividends from the distribution of profits made by staff spin-out companies based on LSE research and impact originating with support from LSE R&I.
	Innovation Revenue Sharing	The share of revenues generated from enhanced or entirely new products or services with a partner. Currently this is TPI FTSE Partnership Revenue Share income.
	Innovation Capacity Investment	Grants provided to LSE R&I that support core staff and non-staff innovation and impact acceleration activities. Includes ESRC Impact Acceleration and other innovation-related income via LSE Generate, Aspect 3.0 and related income generated via PaGE.
	LSE Generate	Innovation activity undertaken through LSE Generate student entrepreneurship.
	Knowledge Transfer Partnerships	Innovate UK funded collaborative partnerships between businesses and universities, colleges, research organisations and Catapults.
	Research Innovation Grants	Grants for the development and commercialisation of new products, processes and services.

**Annex B: Hierarchy of Units**

Home Department	RD Classification	Reporting Department
Accounting	Academic Department	Accounting
Anthropology	Academic Department	Anthropology
Economic History	Academic Department	Economic History
Economics	Academic Department	Economics
European Institute	Academic Department	European Institute
Finance	Academic Department	Finance
Gender Studies	Academic Department	Gender Studies
Geography & Environment	Academic Department	Geography & Environment
Government	Academic Department	Government
Health Policy	Academic Department	Health Policy
International Development	Academic Department	International Development
International History	Academic Department	International History
International Relations	Academic Department	International Relations
Law School	Academic Department	Law School
Management	Academic Department	Management
Management	Academic Department	TRIUM Global Executive MBA
Mathematics	Academic Department	Mathematics
Media and Communications	Academic Department	Media and Communications
Methodology	Academic Department	Methodology
Philosophy, Logic & Scientific Method	Academic Department	Philosophy, Logic & Scientific Method
Psychological and Behavioural Science	Academic Department	Psychological and Behavioural Science
School of Public Policy	Academic Department	School of Public Policy
Social Policy	Academic Department	Social Policy
Sociology	Academic Department	Sociology
Statistics	Academic Department	Statistics
Data Science Institute	Institute	Data Science Institute
Firoz Lalji Institute for Africa	Institute	Firoz Lalji Institute for Africa
International Inequalities Institute	Institute	International Inequalities Institute
Marshall Institute	Institute	Marshall Institute
Asia Research Centre	Research Centre	Asia Research Centre
Economics	Research Centre	Centre for Economic Performance
Economics	Research Centre	Centre for Macroeconomics
Economics	Research Centre	International Growth Centre
Economics	Research Centre	STICERD
Finance	Research Centre	Financial Markets Group
Geography & Environment	Research Centre	Centre for Climate Change Economics and Policy
Geography & Environment	Research Centre	Grantham Research Institute
Geography & Environment	Research Centre	Saw Swee Hock Southeast Asia Centre
Geography & Environment	Research Centre	What Works Centre for Local Economic Growth
Health Policy	Research Centre	Care Policy and Evaluation Centre
Health Policy	Research Centre	LSE Health
International History	Research Centre	LSE IDEAS
International Relations	Research Centre	Centre for Women, Peace and Security
International Relations	Research Centre	LSE IDEAS
International Relations	Research Centre	Middle East Centre
International Relations	Research Centre	Phelan United States Centre
Philosophy, Logic & Scientific Method	Research Centre	CPNSS
School of Public Policy	Research Centre	LSE Cities
Social Policy	Research Centre	Centre for Analysis of Social Exclusion
Sociology	Research Centre	LSE Cities
Statistics	Research Centre	Centre for Analysis of Time Series



**Annex C:**

**Early Career Condition:** Role is "Casual Research Staff" | "Assistant Professor" | "NRSC Assistant Research Fellow" | "Research Assistant" | "Research Fellow" | "Research Fellow Hourly Paid" | "Policy Fellow" | "LSE Fellow" | "Research Officer" | "Research Officer Hourly Paid" | "Assistant Research Fellow" | "Policy Officer" | "Occasional Research Assistant" | Role is "Teaching Only Staff Non-Educational Career Track" and Band is "NON" | "SB04" | "SB05" | "SB06" | "SB07" | "SB08"

**Mid-Career Condition:** Role is "Associate Professor" | "Associate Professorial Lecturer" | "NRSC Associate Research Fellow" | "Associate Research Fellow" | Role is "Teaching Only Staff Non-Educational Career Track" and Band is "SB09"

**Senior Career Conditions:** Role is "Professor" | "Full Professor" | "Professorial Lecturer" | "Professorial Research Fellow" | "NRSC Professorial Research Fellow" | "Deputy Head of Department" | "Head of Department" | Role is "Teaching Only Staff Non-Educational Career Track" and Band is "SB10" | "SBA1" | "SBA2" | "SBA3"

**Annex D: Log of changes to categorisation of income**

<b>Version</b>	<b>Change</b>	<b>Date of Circulation to Research Committee</b>
2	Moved "Collaborative Research" income from "Impact" to "Research".	June 2024
2	Moved Innovate UK corporate engagement income from "Impact: Innovate UK Award" to "Innovation: Research Innovation Grants".	June 2024
2	Moved OakNorth income from "Innovation: LSE Generate" to "Impact: LSE Generate".	June 2024
2	Moved "Knowledge Transfer Partnerships" from "Impact" to "Innovation".	June 2024
2	Moved "LSE Consulting Exchanges" from "Innovation" to "Impact".	June 2024
2	Moved "Academic Speakers Bureau" from "Innovation" to "Impact".	June 2024
2	Expanded Category Descriptions.	June 2024