

LSE Annual Statement of Research Integrity, 2016-17

The LSE is committed to upholding the highest ethical standards, in accordance with our values of integrity, responsibility and accountability, collegiality, equality of respect and opportunity, intellectual freedom and sustainability in our everyday decision making.

The LSE fully supports the UUK **Concordat to support research integrity**¹ (2012) and its five commitments of:

- maintaining the highest standards of rigour and integrity in all aspects of research
- ensuring that research is conducted according to appropriate ethical, legal and professional frameworks, obligations and standards
- supporting a research environment that is underpinned by a culture of integrity and based on good governance, best practice and support for the development of researchers
- using transparent, robust and fair processes to deal with allegations of research misconduct should they arise
- working together to strengthen the integrity of research and to reviewing progress regularly and openly

LSE policies and procedures

As set out in the LSE **Code of Research Conduct**², all LSE staff and students are expected to observe the highest standards of integrity, honesty and professionalism and to embed good practice in every aspect of their work. As well as the Code of Research Conduct, staff and students should abide by the School's **Ethics Code**³ and **Research Ethics Policy**.⁴ The procedures for the investigation of allegations of **misconduct** are embedded within the Code of Research Conduct.

These policies and procedures are given oversight by three LSE committees: Research Committee, which has overall responsibility for ensuring that research undertaken by members of the School is conducted in an ethically sound manner, in accordance with the School's Research Ethics Policy and Code of Research Conduct; ii) Research Ethics Committee (a sub-committee of Research Committee), which has operational responsibility for research ethics. The REC oversees the research ethics review procedure at the School, offers advice to staff and students, and reviews the School's research ethics policy on a triennial basis; iii) Ethics Policy Committee is a committee of LSE Council and is responsible for considering ethical issues at the School. The role of the EPC is to oversee and monitor the Ethics Code and how the Code and the principles contained within it are embedded across the School.

In addition to the above policies and procedures, the School has an **Effective Behaviours Framework**, which supports the principles laid out in the LSE Ethics Code, and sets out examples of the kinds of behaviours that are expected of all colleagues in the School.

¹ <http://www.universitiesuk.ac.uk/policy-and-analysis/reports/Documents/2012/the-concordat-to-support-research-integrity.pdf>

² <https://info.lse.ac.uk/staff/services/Policies-and-procedures/Assets/Documents/codResCon.pdf>

³ <https://info.lse.ac.uk/staff/services/Policies-and-procedures/Assets/Documents/ethCod.pdf>

⁴ <https://info.lse.ac.uk/staff/services/Policies-and-procedures/Assets/Documents/resEthPolPro.pdf>

The LSE Ethics Manager and Research Governance Manager work closely together to ensure that these policies and procedures are disseminated widely across the School via induction sessions, departmental meetings and internal communications. All policies are readily available on the LSE website. Visiting researchers are similarly expected to abide by the LSE Ethics Code and Code of Research Conduct.

LSE is a member of the Russell Group Research Integrity forum, a forum that helps share best practice on issues relating to research integrity.

Training

LSE is committed to providing appropriate ethics and integrity training to all staff and students. This is provided via a number of routes and formats. An online ethics and integrity module has been developed which is currently being rolled out to all staff and students. Generic research ethics training is provided to Masters students through LSE LIFE (a centre providing academic, personal and professional development for taught course students). Discipline-specific research ethics training is provided within departments for all students carrying out empirical research. The Research Governance Manager helps provide bespoke training for specific programmes where needed. PhD students undertake compulsory research ethics training via a series of monthly workshops delivered within the LSE PhD Academy. Staff are also welcome to attend these workshops. Data security training is provided via an online training module.

Allegations of research misconduct, 2016-17

In keeping with the UUK Concordat, the LSE Research Governance Manager provides an annual report on research misconduct to LSE Research Committee, which is chaired by the Pro-Director for Research. Research Committee reports to the School's Academic Board, which includes a summary of research misconduct allegations. Research misconduct investigations are also reported directly to LSE Council as part of an annual report on research performance and research governance.

During the academic year 2016-17 the School received three complaints regarding research conducted by LSE staff. However, none of these complaints proceeded to be submitted as a formal allegation of research misconduct, and all were resolved to the satisfaction of the complainant.