

LSE Annual Statement of Research Integrity, 2018-19

The LSE is committed to upholding the highest ethical standards, in accordance with our values of integrity, responsibility and accountability, collegiality, equality of respect and opportunity, intellectual freedom and sustainability in our everyday decision making.

The LSE fully supports the UUK **Concordat to support research integrity**¹ (2019) and its five commitments of:

- upholding the highest standards of rigour and integrity in all aspects of research
- ensuring that research is conducted according to appropriate ethical, legal and professional frameworks, obligations and standards
- supporting a research environment that is underpinned by a culture of integrity and based on good governance, best practice and support for the development of researchers
- using transparent, timely, robust and fair processes to deal with allegations of research misconduct when they arise
- working together to strengthen the integrity of research and to reviewing progress regularly and openly

LSE policies and procedures

As set out in the LSE **Code of Research Conduct**², all LSE staff and students are expected to observe the highest standards of integrity, honesty and professionalism and to embed good practice in every aspect of their work. As well as the Code of Research Conduct, staff and students should abide by the School's **Ethics Code**³ and **Research Ethics Policy**.⁴ The procedures for the investigation of allegations of **misconduct** are embedded within the Code of Research Conduct.

These policies and procedures are given oversight by three LSE committees:

Research Committee, which has overall responsibility for ensuring that research undertaken by members of the School is conducted in an ethically sound manner, in accordance with the School's Research Ethics Policy and Code of Research Conduct;

ii) Research Ethics Committee (a sub-committee of Research Committee), which has operational responsibility for research ethics. The REC oversees the research ethics review procedure at the School, offers advice to staff and students, and reviews the School's research ethics policy on a triennial basis;

iii) Ethics Committee, which is a committee of LSE Council and is responsible for considering wider ethical issues at the School. The role of the EC is to oversee and monitor the Ethics Code and how the Code and the principles contained within it are embedded across the School.

¹ <https://www.universitiesuk.ac.uk/policy-and-analysis/reports/Documents/2019/the-concordat-to-support-research-integrity.pdf>

² <https://info.lse.ac.uk/staff/services/Policies-and-procedures/Assets/Documents/codResCon.pdf>

³ <https://info.lse.ac.uk/staff/services/Policies-and-procedures/Assets/Documents/ethCod.pdf>

⁴ <https://info.lse.ac.uk/staff/services/Policies-and-procedures/Assets/Documents/resEthPolPro.pdf>

In addition to the above policies and procedures, the School has an **Effective Behaviours Framework**, which supports the principles laid out in the LSE Ethics Code, and sets out examples of the kinds of behaviours that are expected of all colleagues in the School.

The LSE Ethics Manager and Research Governance Manager work closely together to ensure that these policies and procedures are disseminated widely across the School via induction sessions, departmental meetings and internal communications. All policies are readily available on the LSE website. Visiting researchers are similarly expected to abide by the LSE Ethics Code and Code of Research Conduct.

LSE is a member of the Russell Group Research Integrity forum, a forum that helps share best practice on issues relating to research integrity, and a subscriber to the UK Research Integrity Office.

Training

LSE is committed to providing appropriate ethics and integrity training to all staff and students. This is provided via a number of routes and formats. The School has developed an Ethics at LSE online training module based on the Ethics Code which has been disseminated to staff and students. Research ethics seminars are provided to Masters students via LSE LIFE (a centre providing academic, personal and professional development for taught course students). Discipline-specific research ethics training is provided within departments for all students carrying out empirical research. PhD students undertake research ethics training via a series of monthly workshops delivered within the LSE PhD Academy. Staff are also welcome to attend these workshops. Data security training is provided via an online training module. Weekly drop-in surgeries, open to all staff and students, are held for any issues relating to research ethics, data security and data management.

Allegations of research misconduct, 2018-19

In keeping with the UUK Concordat, the LSE Research Governance Manager provides an annual report on research misconduct to LSE Research Committee and LSE Council, summarising complaints received and actions taken.

During the academic year 2018-19 the School received three formal allegations of research misconduct.

In one of these cases it was deemed that the allegation fell within the LSE definition of research misconduct and that there was a *prima facie* case that warranted further investigation. The case therefore proceeded to an informal investigation/screening stage. The conclusion following the screening investigation, however, was that the allegation was deemed not to have substance.

In the case of the two other allegations received, both were deemed not to fall within the LSE definition of research misconduct, but rather issues that should be addressed via normal channels of academic debate.