

Research Ethics Policy, Annex A: Useful External and School Resources

1. External Resources

Anonymisation: managing data protection risk.

The Information Commissioner's Office (ICO) Code of Practice is available at:

<https://ico.org.uk/media/for-organisations/documents/1061/anonymisation-code.pdf>

The ICO also has a code of practice on writing privacy notices, which is available at:

<https://ico.org.uk/media/for-organisations/guide-to-data-protection/privacy-notices-transparency-and-control-1-0.pdf>

Disclosure and Barring Service

Criminal record checking which may be required if working with children or vulnerable groups. See:

<https://www.gov.uk/government/collections/dbs-checking-service-guidance--2>

ESRC Framework for Research Ethics. The ESRC requires that the research it supports is designed and conducted in such a way that it meets certain ethical principles; that it is subject to proper professional and institutional oversight in terms of research governance.

<http://www.esrc.ac.uk/files/funding/guidance-for-applicants/esrc-framework-for-research-ethics-2015/>

See also ESRC Postgraduate Training Guidelines:

<https://esrc.ukri.org/files/skills-and-careers/doctoral-training/postgraduate-training-and-development-guidelines-2015/>

European Commission: How to complete your ethics Self-Assessment

http://ec.europa.eu/research/participants/data/ref/h2020/grants_manual/hi/ethics/h2020_hi_ethics-self-assess_en.pdf

European Science Foundation European Code of Conduct for Research Integrity. The code addresses the proper conduct and principled practice of systematic research in the natural and social sciences and the humanities in Europe.

https://ec.europa.eu/research/participants/data/ref/h2020/other/hi/h2020-ethics_code-of-conduct_en.pdf

International Compilation of Human Research Standards listing (published by the US Department of Health and Human Services) provides a listing of laws, regulations, and guidelines on human subjects protections in 130 countries and from many international organizations:

<https://www.hhs.gov/ohrp/international/compilation-human-research-standards/index.html>

Nuffield Council on Bioethics: The ethics of research involving animals.

<http://nuffieldbioethics.org/project/animal-research/>

RCUK Policy and Guidelines on the Governance of Good Research Conduct. The policy aims to help researchers and research organisations to manage their research, and provides guidance of the reporting and investigation of unacceptable research misconduct.

<http://www.rcuk.ac.uk/Publications/researchers/grc/>

The Research Ethics Guidebook. An online guide for social science researchers

<http://www.ethicsguidebook.ac.uk/>

UKRIO Code of Practice for Research: Promoting good practice and preventing misconduct.

An essential reference tool to support researchers in the conduct of their research.

<http://www.ukrio.org/what-we-do/code-of-practice-for-research/>

UK policy framework for health and social care research

The policy framework applies to health and social care research involving patients, service users or their relatives or carers. This includes research involving them indirectly, for example using information that the NHS or social care services have collected about them.

<https://www.hra.nhs.uk/documents/1068/uk-policy-framework-health-social-care-research.pdf>

Universities UK Concordat to support research integrity. The Concordat sets out five commitments that will provide assurances to government, the wider public and the international community that research in the UK continues to be underpinned by sound standards of rigour and integrity.

<http://www.universitiesuk.ac.uk/highereducation/Pages/Theconcordattosupportresearchintegrity.aspx>

2. Relevant Statutes

The Research Ethics Policy has been drawn up with due regard to relevant statutes, including:

The Data Protection Act (2018) <http://www.legislation.gov.uk/ukpga/2018/12/contents/enacted>

The Mental Capacity Act (2005) <http://www.legislation.gov.uk/ukpga/2005/9/contents>

3. LSE Resources

The Research Ethics Policy should be read in conjunction with other School regulations, policies and procedures, including:

Code of Research Conduct (incorporating research misconduct policy and procedures)

<http://www.lse.ac.uk/intranet/LSEServices/policies/pdfs/school/codResCon.pdf>

Data Protection and Research

<http://www.lse.ac.uk/intranet/LSEServices/policies/pdfs/school/datProRes.pdf>

Data Storage and Management

The School's Records Management Policy is available at:

<https://info.lse.ac.uk/staff/services/Policies-and-procedures/Assets/Documents/recManPol.pdf>

For information on research data management see the Library guidance at:

<http://www.lse.ac.uk/library/research-support/research-data-management>

Ethics Code: The LSE Ethics Code is a set of six core principles, including Responsibility and Accountability, Integrity, and declaring conflicts of interest. See:

<http://www.lse.ac.uk/intranet/LSEServices/policies/pdfs/school/ethCod.pdf>

Freedom of Information (Fol) obligations. The LSE is obliged to meet the requirements of the Fol Act 2000. The School should maintain a list of the information it makes available as a matter of routine. Any person making a request for information is entitled to be informed in writing whether the School holds the information specified in the request, and if that is the case, to have that information communicated to them.

<https://info.lse.ac.uk/Staff/Divisions/Secretarys-Division/Information-Rights-and-Management/Freedom-of-information>

Information Security Policy. The LSE Information Security policy can be found at:

<http://www.lse.ac.uk/intranet/LSEServices/policies/pdfs/school/infSecPol.pdf>

Informed consent: The School's guidance on informed consent (including a sample Information Sheet and Consent form template) can be found at:

<http://www.lse.ac.uk/intranet/LSEServices/policies/pdfs/school/infCon.pdf>

Procedures for the Ethical Screening of Grants and Donations.

<https://info.lse.ac.uk/staff/services/Policies-and-procedures/Assets/Documents/proEthScr.pdf>