







Score	Descriptor	Ethics	Finance	Reputation	Student Experience	Health and Safety/ Travel Risk	Business Continuity	Legal
1	<b>Negligible</b>	No evidence or perception of unethical behavior on the part of any School individual.	Insignificant Loss (up to £5k).	Little or no adverse publicity. Little staff or student comment.	Little or no disruption to residences or studies.	Minor injury requiring local first aid treatment. No lost time/ alternate duties.	Brief disruption - no more than 1 day and having insignificant adverse effect on non-critical area of service.	School in strong legal and regulatory position (favourable decision almost certain). Internal resolution or settlement likely. Approach to regulatory body, employment tribunal or other external action unlikely. Legal Fees under £5,000.
2	<b>Minor</b>	Some perception of isolated cases of unethical behavior on the part of a School individual. Investigation reveals no evidence of such behavior.	Minor financial loss (more than £5k). Minor impact on departmental/ divisional budgets.	Under 3 day adverse localised media coverage, which is primarily localised. Causes some staff and student concern. Some passing adverse social media commentary.	Brief disruption (up to 1 day) to residences or studies.	Recoverable injury likely to result in 7 days or less lost or 7 days or less alternate duties.	Brief disruption- no more than 1 day and having adverse effect on front- line services.	School in strong legal and regulatory position (favourable decision likely). Internal resolution or settlement possible. Approach to regulatory body or employment tribunal possible. Small claims for damages (up to £10,000) or personal injury possible (up to £1,000). Legal fees under £20,000.
3	<b>Moderate</b>	There is a perception that the School condones unethical behavior in isolated cases. On investigation, some breaches of the Ethics code, including financial impropriety, harassment, or research ethics questions come to light.	Significant financial loss (over £500k). Departmental/ divisional budgets over spend.	Over 3 day adverse localised media coverage. Widespread staff and student concern. Noticeable impact on social media and public opinion.	Significant disruption (over 1 day) to residences or studies, having adverse effect on quality of campus life. Evidence of teaching quality issues in a small number (1 to 2) of departments. Graduate destinations data reveals poor employment results over a small but localised number of courses. National Student Survey results reveal some localised evidence of dissatisfaction with LSE experience.	Business disruption to service up to 3 days and having adverse effect on frontline services. Political uprising / disease outbreak with travel still permissible Lack of medical infrastructure	Business disruption to service up to 3 days and having adverse effect on frontline services.	School's legal and regulatory position uncertain (50% chance of favourable decision). Internal resolution or settlement unlikely. Approach to regulatory body or employment tribunal likely. Claims for damages (over £10,000) or personal injury (over £1,000) likely. Legal fees over £20,000.

4	Major	<p>There is a perception that the School condones unethical behavior in isolated cases. On investigation, numerous breaches of the Ethics code, including financial impropriety, harassment, or research ethics questions come to light. Activities of isolated key partners also give rise to concerns of unethical decision making.</p>	<p>Major financial loss (up to and over £2.5 million). Urgent review of School/ Departmental Finances required.</p>	<p>Over 3 day adverse widespread and/ or national media coverage. Comment from governors, donors, and other associated partners. Significant level of adverse social media commentary. Evidence that public opinion may affects potential student and staff recruitment.</p>	<p>Significant disruption (over 3 days) to studies or residences during key exam period. Evidence of courses lacking in pedagogical value across 3 or more departments. Graduate destinations survey reveals poor employment results over a wider number of courses. National Student Survey results reveal more general evidence of dissatisfaction with LSE experience.</p>	<p>Permanent or life changing injuries. Major or dangerous occurrence. Travelling to Sanctioned List of country Uninsured travel Imprisonment/ kidnapping/ ransom Political uprising / disease outbreak with airlines refusing to fly</p>	<p>Business disruption to service over 3 days and having adverse effect on frontline services.</p>	<p>School in weak legal and regulatory position (adverse decision likely). Internal resolution or settlement unlikely. Approach to regulatory body or employment tribunal likely. Claims for damages (over £10,000) or injury (over £1,000) likely. Criminal prosecution possibility. Legal fees over £20,000.</p>
5	Severe	<p>The School is recognized as condoning unethical behavior across the board, with numerous breaches of the Ethics code, including financial impropriety, harassment, or research ethics questions. Activities of widespread key partners also give rise to concerns of unethical decision making.</p>	<p>Severe financial loss (up to and over £5 million). Significant overspend at School/ Departmental level.</p>	<p>Adverse widespread and/ or national media coverage, including stories on the front page of newspapers or news sites, which is sustained for more than 7 days. National trending on social media for negative reasons. Resignation of staff and/ or governors. Donors and/ or associated partners withdraw support. Public opinion stops is damaging potential student and staff recruitment.</p>	<p>Total disruption to studies or residences during key exam period. Widespread teaching quality issues. Poor destinations survey results for the majority of graduates. National Student Survey results reveal widespread evidence of dissatisfaction with LSE experience.</p>	<p>Single or multiple deaths.</p>	<p>Business disruption to service over 7 days and having adverse effect on frontline services. Loss of LSE building</p>	<p>School in very weak legal and regulatory position (adverse decision). Internal resolution or settlement highly. Approach to regulatory body or employment tribunal. Claims for damages (over £10,000) or injury (over £1,000). Criminal prosecution. Legal fees over £20,000.</p>

## Review schedule

Review interval	Next review due by	Next review start
3 years	March 2020	January 2020

## Version history

Version	Date	Approved by	Notes
1.1	9/3/2017	Council	

## Links

Reference	Link
Risk Policy	<a href="http://www.lse.ac.uk/intranet/LSEServices/policies/pdfs/school/risPol.pdf">http://www.lse.ac.uk/intranet/LSEServices/policies/pdfs/school/risPol.pdf</a>
Risk Strategy	<a href="http://www.lse.ac.uk/intranet/LSEServices/policies/pdfs/school/risStr.pdf">http://www.lse.ac.uk/intranet/LSEServices/policies/pdfs/school/risStr.pdf</a>

## Contacts

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